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Efficacy and Safety of Antioxidant Treatment With -Lipoic Acid Over 4 Years in Diabetic Polyneuropathy: The NATHAN 1 trial

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### Abstract

To evaluate the efficacy and safety of  $\alpha$ -lipoic acid (ALA) over 4 years in mild-to-moderate diabetic distal symmetric sensorimotor polyneuropathy (DSPN). **RESEARCH DESIGN AND METHODS** In a multicenter randomized double-blind parallel-group trial, 460 diabetic patients with mild-to-moderate DSPN were randomly assigned to oral treatment with 600 mg ALA once daily (n = 233) or placebo (n = 227) for 4 years. Primary end point was a composite score (Neuropathy Impairment...

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# Efficacy and Safety of Antioxidant Treatment With $\alpha$ -Lipoic Acid Over Years in Diabetic Polyneuropathy

NATHAN 1 trial

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**OBJECTIVE**—To evaluate the efficacy and safety of  $\alpha$ -lipoic acid (ALA) over 4 years in mild-to-moderate diabetic distal symmetric sensorimotor polyneuropathy (DSPN).

**DESIGN DESIGN AND METHODS**—In a multicenter randomized double-blind parallel-group trial, 460 diabetic patients with mild-to-moderate DSPN were randomly assigned to treatment with 600 mg ALA once daily ( $n = 233$ ) or placebo ( $n = 227$ ) for 4 years. Primary end point was a composite score (Neuropathy Impairment Score [NIS]–Lower Limbs [NIS-LL]) on neurophysiologic tests. Secondary outcome measures included NIS, NIS-LL, nerve conduction, and quantitative sensory tests (QSTs).

**RESULTS**—Change in primary end point from baseline to 4 years showed no significant difference between treatment groups ( $P = 0.105$ ). Change from baseline was significantly better with ALA than placebo for NIS ( $P = 0.028$ ), NIS-LL ( $P = 0.05$ ), and NIS-LL muscular weakness ( $P = 0.045$ ). More patients showed a clinically meaningful improvement and fewer patients showed progression of NIS ( $P = 0.013$ ) and NIS-LL ( $P = 0.025$ ) with ALA than with placebo. Nerve conduction and QST results did not significantly worsen with placebo. Global assessment of tolerability and discontinuations due to lack of tolerability did not differ between groups. The rates of serious adverse events were higher on ALA (38.1%) than on placebo (28.2%).

**CONCLUSIONS**—Four-year treatment with ALA in mild-to-moderate DSPN did not influence the primary composite end point but resulted in a clinically meaningful improvement and reduction in progression of neuropathic impairments and was well tolerated. Because the primary end point did not deteriorate significantly in placebo-treated subjects, secondary end points of its progression by ALA according to the trial design were not feasible.

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Diabetic distal symmetric sensorimotor polyneuropathy (DSPN) is a chronic progressive disease that affects around one-third of the diabetic population and accounts for considerable morbidity, increased mortality, and

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that the current strategies of diabetes therapy or multifactorial cardiovascular risk intervention are not sufficient to slow the progression of DSPN. Thus, effective treatment of DSPN is challenging for the physician (1,6).

Based on the pathogenetic mechanisms of DSPN, potential disease-modifying therapeutic approaches have been developed including antioxidants such as  $\alpha$ -lipoic acid (ALA) (7–9) to decrease oxidative stress (10). Other potential modalities include thiazolidinedione reductase inhibitors (11), growth factor receptor tyrosine kinase inhibitors (12), and the protein kinase C- $\beta$  inhibitor ruboxistaurin (13). These drugs have been designed to favorably influence the underlying pathophysiology of the disease rather than for symptomatic pain relief. However, several problems have been countered previously in designing appropriate clinical trials in DSPN. First, these, the most important are as follows: 1) the lack of homogeneity of the study population with respect to both the neuropathy and the degree of glycemic control; 2) different pathogenic mechanisms, the relative importance of which may vary intraindividually; 3) neuropathy that are too advanced

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be conducted for a minimum period of 12 weeks to achieve a clinically meaningful difference of two Neuropathy Impairment Score (NIS) points (16,17). Therefore, we evaluated the efficacy and safety of oral treatment with 600 mg ALA once daily in mild-to-moderate DSPN.

## ARCH DESIGN AND METHODS

**ARCH DESIGN AND METHODS**—The Neurological Assessment of Thiocetic Acid in Diabetic Neuropathy (NATHAN) 1 trial was a multicenter, randomized, double-blind, placebo-controlled, two-to-one allocation ratio, parallel-group clinical trial using film-coated tablets containing 600 mg ALA (Thioctacid HR; MEDA Pharma, Bad Homburg, Germany) that were administered once daily or matching placebo tablets with increased amounts of lactose and lactose that were identical in appearance in diabetic patients with mild-to-moderate DSPN (18). The trial consisted of a 2-week screening phase, 6-week placebo run-in phase, 4-year double-blind treatment phase, and 4-week washout phase. Approvals were obtained from the local ethics committees of all participating centers.

**Inclusion criteria** at the screening visit included age 18–64 years; type 1 or type 2 diabetes as defined by the American Diabetes Association criteria (1997); diabetes duration  $\geq 1$  year; presence of stage 1 or 2 N attributable to diabetes (18); stable insulin regimen, weight, diet, and physical activity level as judged by the investigator; NIS–Lower Limbs and seven nerve conduction tests (NIS-LL+7) score in the 10th percentile (corresponding to a transformed score points); NIS-LL  $\geq 10$  points; one of two abnormalities [either abnormal nerve conduction attributes in two separate nerves  $\geq 99$ th percentile for distal latency or  $\leq 1$ st percentile for nerve conduction velocity or 1) abnormal heart rate during deep breathing (HRDB)  $\leq 1$ st percentile total symptom score (TSS) in the

with the patient's ability to participate in the trial; active neoplastic disease except basal cell carcinoma; uncontrolled atrial fibrillation; clinically significant cardiac, pulmonary, gastrointestinal, hematologic, or other endocrine disease; organ transplants; aspartate aminotransferase or alanine aminotransferase  $> 2$  times normal; serum creatinine  $> 1.8$  and  $> 1.6$  mg/dL for men and women, respectively; drug or alcohol abuse within the last year; use of investigational drug within the last 6 months; severe or anaphylactic reaction to multiple drugs, sulfur products, or biologic products; ketoacidosis or hypoglycemia within the last 3 months resulting in hospital admission; antioxidant therapy ( $> 400$  IU vitamin E,  $> 200$  mg vitamin C, or  $> 30$  mg/day  $\beta$  carotene) or pentoxifylline within the last month;  $\gamma$ -linolenic acid and ALA  $> 50$  mg/day within the last 3 months; history of use of medications or vitamins known to cause peripheral neuropathy including but not limited to use of phenytoin or carbamazepine  $\geq 15$  years or use of  $> 100$  mg/day pyridoxine within the last 12 months; and use of pain medications except for standard doses of salicylates, ibuprofen, indoles, fenamates, oxicams, or pyrazoles.

## Outcomes

The primary outcome measure was a composite score including the NIS-LL+7 suggested by Dyck et al. (16) including 1) vibration detection threshold, 2) peroneal motor nerve conduction velocity (MNCV), 3) peroneal motor nerve distal latency, 4) peroneal compound muscle action potential (CMAP), 5) tibial motor nerve distal latency, 6) sural sensory nerve action potential amplitude, and 7) change in HRDB. The primary criterion of efficacy in the confirmatory analysis was the absolute change in the NIS-LL+7 score expressed as normal deviates (z-scores from percentiles correcting for age and other applicable variables) between baseline (mean of visit during weeks 1 and 2 or last avail-

decrease in NIS or NIS-LL by  $\geq 2$  points, respectively, while clinically meaningful progression was defined as an increase in NIS or NIS-LL by  $\geq 2$  points, respectively (16,17).

Duplicate measurements of NIS and NIS-LL were performed at baseline, after 2 years, and after 4 years, whereas single measurements were performed at screening and after 6 months, 1 year, and 3 years except for the NSC, which were assessed as secondary assessments at screening and the 6-month intervals, and the TSS was assessed at 3-month intervals.

The NIS is the sum score of the seven domains of muscle weakness. The

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and great toe and is scored for 0–5 points of the body (19). The NSC score (0–10) for severity, and change) are derived from answers to 38 questions: 1–19; sensory weakness, questions 1–19; sensory autonomic tests, questions 20–29; and autonomic tests, questions 30–38 (7). Experienced, and certified (by P.J.D. and J.L.) physicians evaluated the NSC. Study physicians had participated in training sessions and actual evaluation of patients under observation and a formal certification process. Test results, quantitative sensory testing (QSTs), and autonomic tests were performed by trained and certified physicians (by P.A.L., W.J.L., P.J.D., and J.L.). All results were interactively evaluated using the Reading and Quality Assurance System (at Mayo Clinic and Health Outcomes Research Center) for Eligibility, baseline conditions, washout, stimulus response patterns, and test results were also assessed.

Safety measures included monitoring of adverse events, vital signs, 12-lead resting electrocardiogram, chest X-ray at baseline, concomitant physical examination, global assessment of tolerance, and laboratory tests including blood chemistry, hematology, and urinalysis.



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## AN 1 trial: ALA in polyneuropathy

a. The random allocation was balancing an undisclosed block size. The investigators and the monitor sealed envelopes to enable decoding individual blinded treatment in emergency.

### Statistical analysis

**Primary analysis.** The following hypothesis was tested:  $H_0: \mu_T = \mu_P$  vs.  $H_1: \mu_T \neq \mu_P$ , where  $\mu_T$  and  $\mu_P$  denote the mean change in NIS-LL+7 tests from baseline to end point in the ALA and placebo groups, respectively. A two-way ANOVA including the factors treatment and center was performed. Variances were allowed to differ between treatments. Degrees of freedom were derived according to the Satterthwaite-Fisher method. A 95% CI for the treatment difference based on least squares estimates from the model without interactions was calculated. A second model including treatment and center interaction was fitted explanatorily.

**Interim analysis.** An interim analysis was performed as soon as the 2-year follow-up of most subjects were available. The primary end point table part of the study had been completed and assessed by an independent supervisory committee. The decision on continuation of the study was based on a confirmatory test of the primary variable. If  $P < 0.005$ , the study would have been stopped. To ensure a global type 1 error rate of 5%, the error level for the final analysis was set to  $\alpha_2 = 0.0452$  according to Šidák (20) inequality.

Depending on the structure of data, contingency tables [n (%)] or descriptive statistics (n, mean, SD, median, quartiles) were presented for time series and changes from baseline. Secondary end points were analyzed by using a Wilcoxon Mann-Whitney test. Adverse events were coded according to the World Health Organization-Adverse Events Terminology (ART). Global incidences on preferred term and body system class level were calculated based

size per group (n) were considered: SD 3.57, n = 68; SD 4, n = 86; SD 5, n = 133; SD 6, n = 191; SD 7, n = 211. To account for a relatively high dropout rate expected in this long-term study, randomization of 250 patients per arm was proposed. Analysis of the intention-to-treat population was primary for all efficacy variables. Homogeneity of baseline characteristics was investigated by exploratory statistical tests based on the intention-to-treat population on selected baseline variables.

## RESULTS

### Patient disposition, clinical characteristics, HbA<sub>1c</sub>, and vital signs

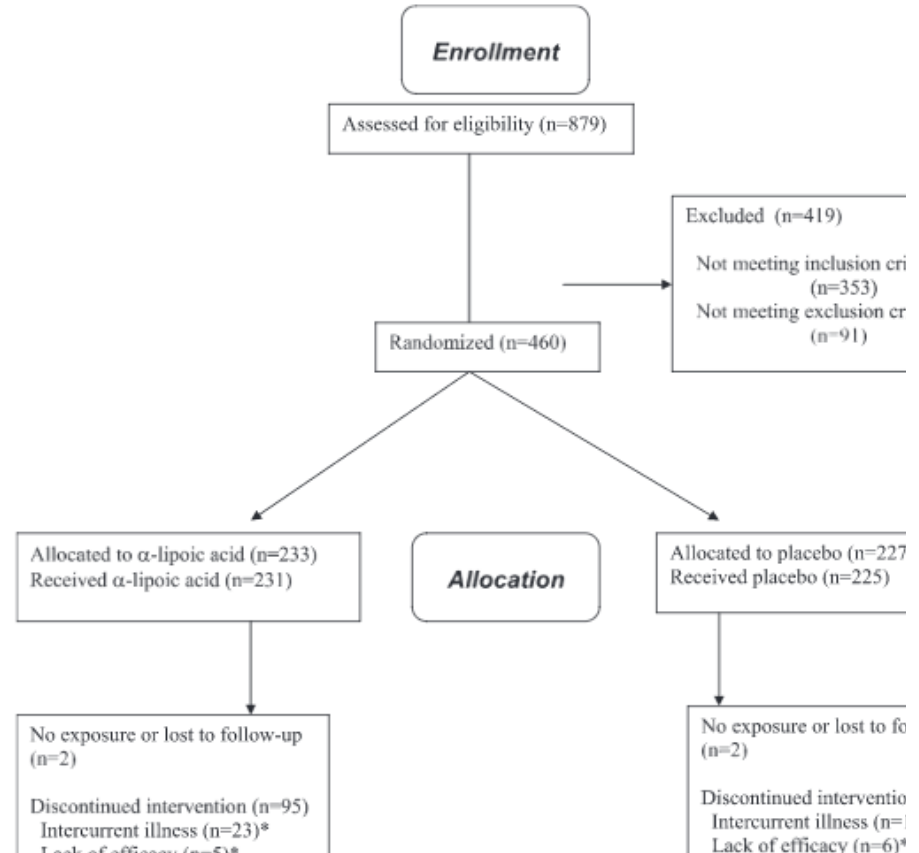
The patient disposition throughout the trial according to the CONSORT Statement

2010 flow diagram (21) is shown in Figure 1. The demographic variables and measures at baseline in both groups are shown in Table 1. As a sign of balance, no significant differences between the groups were noted for any of the parameters listed except for HR (P = 0.0193).

Mean HbA<sub>1c</sub> decreased from 8.4% by 0.67 ± 1.41% in the ALA group and from 8.4% to 7.7% (0.48 ± 1.46%) on placebo after 4 years. After 4 years, HbA<sub>1c</sub> declined compared with baseline by 0.62 ± 1.59% in the ALA group and by 0.60 ± 1.78% during the study without significant differences between the groups (P = 0.9313 after 4 years and P = 0.9770 after 4 years).

Mean systolic blood pressure decreased by 0.67 ± 1.41% in the ALA group and by 0.60 ± 1.78% in the placebo group (P = 0.9770). The corre-

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