



Published in final edited form as:

*Free Neuropathol.* 2020 ; 1: . doi:10.17879/freeneuropathology-2020-3025.

## A $\beta$ Plaques

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### Abstract

A $\beta$  plaques are one of the two lesions in the brain that define the neuropathological diagnosis of Alzheimer's disease. Plaques are highly diverse structures; many of them include massed, fibrillar polymers of the A $\beta$  protein referred to as *A $\beta$ -amyloid*, but some lack the defining features of amyloid. Cellular elements in 'classical' plaques include abnormal neuronal processes and reactive glial cells, but these are not present in all plaques. Plaques have been given various names since their discovery in 1892, including *senile plaques*, *amyloid plaques*, and *neuritic plaques*. However, with the identification in the 1980s of A $\beta$  as the obligatory and universal component of plaques, the term 'A $\beta$  plaques' has become a unifying term for these heterogeneous formations. Tauopathy, the second essential lesion of the Alzheimer's disease diagnostic dyad, is downstream of A $\beta$ -proteopathy, but it is critically important for the manifestation of dementia. The etiologic link between A $\beta$ -proteopathy and tauopathy in Alzheimer's disease remains largely undefined. A $\beta$  plaques develop and propagate via the misfolding, self-assembly and spread of A $\beta$  by the prion-like mechanism of seeded protein aggregation. Partially overlapping sets of risk factors and sequelae, including inflammation, genetic variations, and various environmental triggers have been linked to plaque development and idiopathic Alzheimer's disease, but no single factor has emerged as a requisite cause. The value of A $\beta$  plaques *per se* as therapeutic targets is uncertain; although some plaques are sites of focal gliosis and inflammation, the complexity of inflammatory biology presents challenges to glia-directed intervention. Small, soluble, oligomeric assemblies of A $\beta$  are enriched in the vicinity of plaques, and these probably contribute to the toxic impact of A $\beta$  aggregation on the brain. Measures designed to reduce the production or seeded self-assembly of A $\beta$  can impede the formation of A $\beta$  plaques and oligomers, along with their accompanying abnormalities; given the apparent long timecourse of the emergence, maturation and proliferation of A $\beta$  plaques in humans, such therapies are likely to be most effective when begun early in the pathogenic process, before significant damage has been done to the brain. Since their discovery in the late 19th century, A $\beta$  plaques have, time and again, illuminated fundamental mechanisms driving neurodegeneration, and they should remain at the forefront of efforts to understand, and therefore treat, Alzheimer's disease.

### Keywords

Alzheimer's disease; amyloid; neuritic plaques; neurofibrillary tangles; senile plaques

## 1. A $\beta$ , Amyloid, and Alzheimer's disease

The most striking and yet still enigmatic pathologic features of Alzheimer's disease (AD) are lesions known for over a century as *senile plaques* - microscopic anomalies in the parenchyma of the brain consisting of an abnormal accumulation of protein decorated by various molecules, and often including dystrophic neuronal processes and reactive glial cells (Figure 1). Although plaques are a frequent feature of the senescent brain and, when particularly numerous, an obligatory diagnostic marker of AD [1], the identity of the principal protein in the plaque core remained unknown until the 1980's. Then, Glenner and Wong established a partial amino acid sequence of the protein in cerebral amyloid angiopathy (CAA) from patients with AD and Down syndrome [2, 3], and Masters and Beyreuther [4, 5] determined that the same protein is a key component of plaques. Initially referred to as the  $\beta$  protein, A4, or  $\beta$ /A4, the protein now is commonly designated **A $\beta$**  [6]; collectively, these lesions are increasingly referred to as *A $\beta$  plaques* (see Section 3).

### 1.1 A $\beta$ .

A $\beta$  is a cleavage product of the A $\beta$ -precursor protein (APP), a 695–770 amino acid, single membrane-spanning protein that is strongly expressed in the nervous system [7, 8]. A $\beta$  is generated mainly in endosomes, and its release into the extracellular space is influenced by synaptic activity [9]. To produce A $\beta$ , APP is sequentially cleaved by the enzymes  $\beta$ -secretase [or  $\beta$ -amyloid cleaving enzyme (BACE)] and  $\gamma$ -secretase [8], resulting in A $\beta$  proteins that are most often 40 or 42 amino acids in length ('A $\beta$ 40' and 'A $\beta$ 42'), although many C-terminally and N-terminally variant and/or chemically modified A $\beta$  fragments also occur [7, 10–16]. Different lengths of A $\beta$  can derive from their differential excision from APP by secretases or from post-translational trimming of A $\beta$  by exopeptidases [10]. Potential post-translational chemical modifications of A $\beta$  include pyroglutamylation, racemization, isomerization, oxidation, phosphorylation, *N*-homocysteinylation, nitration, and glycosylation [11, 17–19] (see also Section 7, below). How post-translational modifications influence the process of protein aggregation in general remains poorly understood [20, 21].

A $\beta$ 40 is the isoform of A $\beta$  that is most abundantly generated by neurons, but two C-terminal hydrophobic residues in A $\beta$ 42 augment its tendency to self-assemble into amyloid [7, 22]. As a result, more plaques are immunoreactive for A $\beta$ 42 than for A $\beta$ 40 (Figure 2), although the relative amounts of plaques stained for A $\beta$ 40 and A $\beta$ 42 vary.

Unlike plaques, cerebral A $\beta$ -amyloid angiopathy (A $\beta$ -CAA) in large vessels is more consistently positive for A $\beta$ 40, though A $\beta$ 42 also is generally present [23]. The staining patterns of the two isoforms differ in capillary A $\beta$ -CAA compared to large-vessel A $\beta$ -CAA [24, 25], and in the vessel wall compared to the diffuse A $\beta$  that sometimes extends from the wall into the surrounding parenchyma (dyschoric amyloid angiopathy) [25, 26]. The mechanisms governing the ontogeny of plaques and A $\beta$ -CAA also probably differ to some extent (see Section 5.3).

In addition to plaques and amyloid angiopathy, A $\beta$  multimerizes into a range of oligomeric species [27, 28] that can interact with cells and impair brain function [27, 29–35]. Oligomers

appear to be an important intermediate step in the assembly of polymeric amyloid of all types [20]. Comparison of subjects expressing AD-type dementia to nondemented subjects with high A $\beta$  plaque pathology, the amount of oligomeric A $\beta$  correlates more strongly with cognitive decline than does the number of plaques *per se* [36]. Experimental studies indicate that A $\beta$  plaques include abundant oligomers [36, 37], and that some plaques shed toxic oligomers into the surrounding parenchyma [37–39]. A $\beta$ 42-oligomers have been shown to arise from secondary nucleation on A $\beta$ -amyloid fibrils during protein aggregation, directly linking them to the process of amyloidogenesis [34]. At least some A $\beta$ -oligomers are particularly potent seeds for the formation of A $\beta$  plaques [40, 41], although whether there are seed-active oligomers that differ from toxic oligomers, as has been found for prions [42], is unknown. The relationship between A $\beta$ -oligomers and the diverse plaque types [31, 33, 38] in the human brain - e.g., dense-core *vs* diffuse - also is an issue that remains incompletely defined. Indeed, owing to their dynamicity and heterogeneity, the analysis of oligomers as they occur in living systems is technically challenging [20] (see also Benilova et al. [43] for a critique of oligomers as toxic agents). Regardless of the relative contribution of A $\beta$ -oligomers and amyloid fibrils to disease, both of these multimeric states denote the presence of an abnormal condition in the brain, i.e., the misfolding and accumulation of the A $\beta$  protein.

A $\beta$  has assumed a prominent position in Alzheimer research because all identified risk factors for AD increase its quantity and/or tendency to aggregate [33, 44, 45]. Most notably, mutations in APP and the presenilins (components of the  $\gamma$ -secretase complex) [22] are the only known autosomal dominant causes of AD, and a superfluous APP gene due to trisomic chromosome 21 in Down Syndrome frequently leads to early-onset AD [35, 46, 47]. Furthermore, a rare mutation that substitutes a threonine for alanine (A673T) at position 2 of A $\beta$  lowers both the production of A $\beta$  [48] and its propensity to aggregate [49]; this mutation is associated with a reduced risk of manifesting AD [48] and possibly parenchymal plaques as well [50]. Contrariwise, when a valine replaces alanine at position 2 (A673V), A $\beta$  generation is increased, and the protein is more prone to aggregate, resulting in an autosomal recessive form of AD [51]. Thus, there is little doubt that A $\beta$  is intimately involved in the pathogenesis of AD, although many questions remain about how plaques *per se* participate in the neurodegenerative process.

## 1.2 Amyloid.

A persistent source of misunderstanding regarding the role of A $\beta$  in AD is the common use of the generic term ‘amyloid’ to refer to the protein A $\beta$ . In pathology, *amyloid* refers to ‘mainly extracellular tissue deposits of protein fibrils, recognized by certain properties, such as green-yellow birefringence after staining with Congo red’ [6] (for historical considerations of amyloid, see [20, 52–55], and for more on the definition of amyloid see [20, 56, 57]). Amyloid can arise from over 30 different proteins in various parts of the body in different human diseases [6, 58]. Hence, ‘A $\beta$ ’ the molecule and ‘amyloid’ the fibrillar mass are not synonymous. A $\beta$  refers exclusively to the protein that, when aggregated into distinctive fibrils, constitutes the specific type of amyloid that most commonly accumulates in the aging brain.

The formation of amyloid involves the misfolding and self-assembly of a particular protein into filamentous structures with a distinctive cross- $\beta$  architecture that is stabilized by a 'steric zipper' molecular motif [20, 59]. The misfolded protein has two notable characteristics that contribute to its amyloidogenicity: 1) it compels unfolded molecules of the same protein to similarly misfold by means of permissive templating [60]; and 2) the  $\beta$ -sheets in separate molecules hydrogen-bond to one another to form stable, filiform polymers with the  $\beta$ -sheets oriented perpendicular to the long axis of the polymer [59]. In this way, the misshapen proteins both corrupt and capture like proteins, which stack into protofilaments that wind together to build long, non-branching fibrils that typically range from ~6 to 13 nm in diameter [54]. These fibrils are characteristic of amyloid in general [6]. Despite their shared cross- $\beta$  backbone and similar appearance by conventional transmission electron microscopy, however, amyloid fibrils are polymorphic at the molecular level [20, 61–68].

Although amyloid was long defined as an exclusively extracellular substance [69–71], it is now recognized to occur intracellularly as certain types of inclusion [6, 20]. The tau protein that polymerizes into neurofibrillary tangles - the second mandatory pathologic hallmark of AD (Figure 3) - has attributes of amyloid [72]. Thus, the two lesions that characterize AD pathologically - plaques and tangles - arise from two different proteins - A $\beta$  and tau - both of which can misfold and self-assemble into amyloid.

Despite genetic, biomarker and pathologic findings implicating aberrant A $\beta$  in the initiation of AD [9, 33, 44], tauopathy is more strongly correlated with cognitive decline than are plaques [73–78]. In the forebrain, tangles first appear in the medial temporal lobe [79, 80], but the dementia of AD is fully apparent only when tauopathy becomes severe in much of the neocortex [1, 81], a process that is facilitated by the presence of A $\beta$  pathology [9] (see also [82]). The precise nature of the mechanistic link between A $\beta$ -proteopathy and tauopathy in AD, however, remains a critical unsolved problem [45, 83, 84].

## 2. The Discovery and Early Exploration of Plaques

The late 19<sup>th</sup> and early 20<sup>th</sup> centuries saw a profusion of new staining methods that selectively revealed various elements in cells and tissues [85]. Accordingly, the original depictions of plaques reflected what was disclosed by histologic stains and viewed with the light microscope. In 1892, Paul Blocq and Georges Marinesco at the Salpêtrière Hospital in Paris reported microscopic 'amas ronds' ('round clusters', or 'round heaps') or 'nodules de sclérose névroglique' ('nodules of neuroglial sclerosis') in the brains of older epileptic patients [86]<sup>1</sup>. This report is generally considered to be the first unambiguous identification of plaques in the senescent brain [81, 87]. In 1898, Emil Redlich published evidence linking plaques to dementia [88]; in the brains of three elderly subjects, two of whom had died with clinically confirmed dementia, Redlich described the structures as consisting of a core of uncertain substance along with surrounding astrocytes ('Spinnenzellen') and their processes. Because they resembled millet seeds under the microscope, he referred to these collective

<sup>1</sup>'Il existe de plus, disséminés dans les diverses couches de l'écorce, de petits amas ronds d'un diamètre de 60  $\mu$  environ, se distinguant du reste du tissu par une coloration beaucoup plus intense, à contours réguliers. Ils apparaissent ainsi, parsemant discrètement le fond des préparations, d'une structure vaguement pointillée, ce pourquoi il est permis de considérer quelques-uns d'entre eux, au moins, comme de véritables nodules de sclérose névroglique (?).' (Question mark is in the original)



lesions as ‘miliary sclerosis’ (‘miliare Sklerose’). Notably, Redlich also dubbed them ‘plaques’, a term that was expanded to ‘senile plaques’ by Simchowicz in 1911 [89]. Furthermore, Redlich noted that some smaller lesions consisted of fine fibers with a cotton-like appearance [88], anticipating the use of the term ‘cotton-wool plaques’ to depict certain types of deposit today [90–94].

Although Alois Alzheimer often is credited with instigating the burst of scientific analyses of plaques with his 1906 conference presentation in Tübingen (published in 1907) [95], his report was brief, and plaques (‘miliary foci’) were only superficially mentioned<sup>2</sup>. He did not issue his first detailed histopathologic examination of plaques until 1911 [96]. In fact, along with Redlich [88], a good case can be made that Oskar Fischer deserves the credit for initiating the modern histopathologic analysis of dementia with a comprehensive series of reports published in 1907, 1910, and 1912 [87]. Several other researchers contributed to the literature on plaques during this period, including, among others, Miyake [97], Lafora [98]; Bonfiglio [99], Hübner [100], Perusini [101], Fuller [102], Bielschowsky [103], Barrett [104], Simchowicz [105] and Marinesco and Minea [106] (see also Christen [107] for a brief historical perspective on this period of research into what we now call Alzheimer’s disease).

Both Alzheimer and Fischer excelled in their analysis of plaques by implementing a silver-based staining method introduced by Max Bielschowsky [87] (see Braak and Braak [108] for a nice summary of the early development of silver stains)<sup>3</sup>. Alzheimer did, however, correctly anticipate the evolution of neurology in his 1907 publication, in which he contended that the time had come to define neurologic diseases based on both their clinical and histologic characteristics [95]<sup>4</sup>. This view has a contemporary parallel in the call by an international group of experts for a biologic, rather than syndromic, definition of Alzheimer’s disease [109]. Furthermore, Alzheimer noted in 1911 the prevailing technical inability to identify the substance in the plaque core: ‘... we have to consider the core of the plaque as an unorganized mass which emerges differently with different staining methods ... As Perusini and Fischer have already explained, we are not at present able to identify this mass with any of the substances known in pathological anatomy’ (translation from [110]). In addition, Alzheimer highlighted the prominence of glial cells in the composition of plaques [96], a subject that has gained momentum in the 21st century, owing in part to the identification of compelling, glia-related genetic risk factors for AD [111–115] (see Section 6.2).

From the early 20th century on, researchers widely agreed that the main structural elements comprising plaques are abnormal neuronal processes, altered glial cells, and a central,

<sup>2</sup>‘Über die ganze Rinde zerstreut, besonders zahlreich in den oberen Schichten, findet man miliare Herdchen, welche durch Einlagerung eines eigenartigen Stoffes in die Hirnrinde bedingt sind. Er lässt sich schon ohne Färbung erkennen, ist aber Färbungen gegenüber sehr refractär.’

<sup>3</sup>Many different silver stains have been developed to detect the pathology of AD. Each method selectively reveals certain elements in the plaques, and they are sometimes considered to be less sensitive than is immunostaining with antibodies to A $\beta$ . Some silver stains, however, are exquisitely sensitive even to small, diffuse A $\beta$  deposits, which have been recognized in AD tissue since the early 20th century (see, e.g., Marinesco and Minea [1912] (reference 106) and Cowe, A. [1915], (reference 508). Note also Figure 23.

<sup>4</sup>‘Es gibt ganz zweifellos viel mehr psychische Krankheiten, als sie unsere Lehrbücher aufführen. In manchen solchen Fällen wird dann eine spätere histologische Untersuchung die Besonderheit des Falles feststellen lassen. Dann werden wir aber auch allmählich dazu kommen, von den großen Krankheitsgruppen unserer Lehrbücher einzelne Krankheiten (*sic*) klinisch abzuscheiden und jene selbst klinisch schärfer zu umgrenzen.’

disordered mass of unidentified material. In a 1929 review, Macdonald Critchley [116] wrote that the ‘modern conception of the plaque is that of a reactionary change directed against a specific metabolic process of a toxic nature’ (a description that, if we consider the material in the core to be the key toxic substance, resonates with leading 21<sup>st</sup> century concepts).

Many pioneering scientists attempted to explain the origin and nature of plaques based on their interpretations of static images in selectively stained tissue sections; not surprisingly, disagreement was common (see, e.g., [117], [99], [101], [102]). Ferraro (1931) summarized this lack of consensus: ‘...one group of investigators favors the theory that [the plaque] originates from nerve cells, another that it originates from neuroglial elements, another from axis cylinders, and still another, from the intercellular ground substance’ [118]. Sniat remarked in 1941 that ‘No less than twenty different concepts concerning their origin have appeared in the literature’ [119]. As late as 1960, Liss wrote, citing three influential textbooks on pathology, that the ‘morphogenesis of senile plaques remains still an unsettled and controversial matter’ [120].

A crucial question, and a source of much of the discord among researchers, was the nature of the plaque core - what does it consist of, how does it arise, what impact does it have, and what governs the proliferation of plaques in the brain? The answers to these questions would not begin to emerge for another half century. In fact, no compelling conceptual insights immediately followed the initial flurry of histopathologic investigations of plaques, which, ultimately, were hampered by limitations in the available methods [119, 121].

Beginning in the 1960’s, theoretical and analytical advances accelerated; electron-microscopic studies showed that the mature plaque core consists of amyloid fibrils structurally similar to those in corporeal amyloidoses [122, 123], and quantitative analyses confirmed that plaque load in the brain is linked to dementia [124]. Most important, however, was the molecular decipherment of A $\beta$  as the primary protein in cerebral amyloid by Glenner and Wong [2, 3] and Masters and colleagues [4]. The genetic insights and technical tools resulting from this discovery ultimately established A $\beta$  as a critical player in the pathogenesis of AD, and the plaques that occur in normal aging and Alzheimer’s disease could, for the first time, be unified by a single, omnipresent component - aberrant A $\beta$ .

### 3. Plaque Nomenclature: The Case for ‘A $\beta$ Plaques’

The term ‘plaque’ (which historically has referred to a flat object such as a disk or tablet) was adopted by the medical community in the mid-to-late late 1800’s to designate patch-like abnormalities such as atherosclerotic plaque or dental plaque<sup>5</sup>. Redlich [88] used the term to describe carmine-stained densities (‘intensiv gefärbten Plaques’), and Simchowicz [105] added the modifier ‘senile’ to denote their frequency in senescent brains, particularly in patients with senile dementia [89]. Most plaques in the brain (unlike dental or atherosclerotic plaque) are not planar (one exception being the band-like subpial deposits [see Section 5.1]). Of course, spheroidal plaques appear discoid in histologic sections, and

<sup>5</sup>**plaque** (Subject: Medicine and health): Any small patch or region of abnormal tissue within the body. See amyloid plaque, gliosis. [From French *plaquer* to plate, from Middle Dutch *placken* to beat metal].’ From: Oxford Dictionary of Word Origins: <https://www.oxfordreference.com/view/10.1093/acref/9780199547920.001.0001/acref-9780199547920>

their apparent size and composition are influenced by the plane through which they are cut (Figure 4).

Some of the designations for plaques derive from their staining characteristics. Following Divry's discovery that certain plaques show amyloid-type birefringence after staining with the dye Congo red [125], the terms 'congophilic plaques' or 'amyloid plaques' became common. The term 'argyrophilic plaques' also has been employed, owing to their detectability by various silver-based staining methods [81]. Other labels such as 'miliary plaques', 'Drusen'<sup>6</sup>, and 'Redlich-Fischer plaques' can be found in the earlier literature [116]. In 1972, Wisniewski and Terry introduced the term 'neuritic plaques' in recognition of the profusion of abnormal neuronal processes that invest many plaques. With the identification of the A $\beta$  protein in plaques, the term 'A $\beta$  plaques' is increasingly common. For the following reasons, 'A $\beta$  plaques' is recommended as the inclusive term that succinctly encompasses the multiplicity of these lesions under the umbrella of their shared feature - A $\beta$  deposition<sup>7</sup>:

- 1) A $\beta$  is present in all of the plaques that are linked to 'normal' aging and Alzheimer's disease, regardless of size, shape, aggregation state, location, or overall composition.
- 2) The term 'senile' is vague and arbitrary, and not all plaques occur in 'senile' humans. Although A $\beta$  plaques become more common at older ages, they can emerge in the 4th decade of life or earlier, especially in people with some autosomal dominant forms of AD [126]<sup>8</sup>.
- 3) Plaques that are structurally similar to A $\beta$  plaques occur in other neurodegenerative disorders, yet these result from the misfolding and aggregation of different proteins. Such plaque-forming proteins include the prion protein (PrP) in certain spongiform encephalopathies [127, 128], the ABri protein in Familial British Dementia [129, 130], and the ADan protein in Familial Danish Dementia [131, 132].
- 4) Not all A $\beta$  deposits incorporate abnormal neurites, which often are sparse or absent in diffuse plaques [133] including cotton-wool plaques [90, 93, 134] (below). The term 'neuritic plaques' is suitable for the lesions that contain neurites, but these are only a subset of the entire family of A $\beta$  plaques.
- 5) The A $\beta$  in plaques does not always meet all of the criteria for *amyloid* [6] (see Section 1.2). Many diffuse A $\beta$  deposits in the aging brain do not show birefringence after staining with Congo red. In addition, large, cotton-wool A $\beta$  plaques lacking amyloid cores are abundant in certain presenilin-1 mutant cases of autosomal dominant AD [90, 91, 93, 94, 134] and in some non-familial cases [92]. (The A $\beta$  in non-amyloid plaques from some presenilin-1 mutant cases is unusual in that it consists mostly of N-terminally truncated A $\beta$

<sup>6</sup>Note that 'Druse' ('geode') differs from 'Drüse' (with Umlaut), which refers to a 'gland'.

<sup>7</sup>Because 'A $\beta$ ' and 'plaque' are both nouns, they could be connected by a hyphen (A $\beta$ -plaque). I have chosen not to include the hyphen (the 'open form') in order to simplify usage. In some cases (such as A $\beta$ -CAA & A $\beta$ -oligomers), I have retained the hyphen for clarity.

<sup>8</sup>W.H. McMenemy opined in 1963: '...the structures first observed by Blocq & Marinesco (1892) and thought by them to be nodules of glial sclerosis were called by Simchowicz (1910) 'senile plaques' - an unfortunate choice of name for it has coloured our thinking for the past fifty years' (reference 509).

[94], as do diffuse deposits in the cerebellum in AD [135] and Down syndrome [135, 136]). The term ‘amyloid plaques’, like ‘neuritic plaques’, is appropriate for a subgroup of the lesions, but the universal constituent is A $\beta$ , whether it is in the form of amyloid or not; hence, more precise designations of plaque subtypes would be, for example, ‘A $\beta$ -amyloid plaques’ and ‘neuritic A $\beta$  plaques’.

Note that ‘diffuse plaques’ here refers to the fact that the A $\beta$  accumulation is ‘widely spread or scattered; not concentrated’ [137], without consideration of the nature of the A $\beta$  deposits, e.g., thread-like or punctate. ‘Diffuse’ thus denotes only the characteristics of the A $\beta$  deposits, and not the dysmorphic neurites or any other component of the plaques. Also, when analyzing A $\beta$  plaques histologically, it is useful to be cognizant of the plane of section, thickness of the tissue, and the limitations of a given staining protocol. Plaques are 3-dimensional structures that, when large enough, are only partially captured in thin histologic sections (Figure 4). Furthermore, different stains recognize different components of plaques. Consequently, a comprehensive assessment of plaques requires their full reconstruction and the application of suitable markers for potential components.

In congruence with the trend to define Alzheimer’s disease according to its molecular underpinnings [109], defining the plaques that occur in aging and AD based on their principal proteinaceous component unambiguously distinguishes them from similar lesions in other disorders. In addition, this molecularly grounded moniker explicitly specifies the attribute that defines these plaques as unique pathologic entities: the misfolding and abnormal accumulation of the A $\beta$  protein.

## 4 The Anatomic Distribution of A $\beta$ Plaques

### 4.1 Histology.

Determination of the amino acid sequence of A $\beta$  [2–4] prompted the development of sensitive and specific antibodies that have facilitated the investigation of the anatomic localization, structural diversity, and biochemical composition of A $\beta$  deposits in the brain. A $\beta$  plaques become increasingly frequent as age advances [80, 138], but they are especially numerous in AD patients.

The anatomic distribution of A $\beta$  plaques is variable, and it differs both among individuals and among brain regions in a given person [139–141] (Figure 5). In general, association areas of the neocortex are more vulnerable and/or affected earlier than are primary motor and sensory areas [140]. A $\beta$  deposition is particularly profuse in the default mode network, an interconnected assemblage of brain regions that maintain vigorous metabolic activity when the brain is in an otherwise resting state [142]. The structure of A $\beta$  plaques is influenced in part by the architectonic characteristics of the areas in which they form [139, 143], but it is usual for several kinds of plaque to intermix within a given site (Figure 6). In the neocortex, the laminar distribution of diverse A $\beta$  plaques can vary markedly [140] (Figure 5).

Based on an analysis of human brains with different degrees of plaque accumulation, a spatiotemporal course of A $\beta$  plaque formation has been proposed [19, 144, 145]. There is

general agreement that diffuse plaques are the earliest type to emerge, followed later by cored (amyloid) plaques [146]. According to Thal and colleagues, in the first phase of the process, diffuse A $\beta$  plaques appear in the neo(iso)cortex; in the second phase, allocortex, the hippocampal formation and amygdala are affected; in the third phase, plaques arise in the basal ganglia and diencephalon; in the fourth phase they appear in the midbrain and medulla oblongata; and in the fifth phase, the pons and cerebellum are affected [19, 144, 145] (Figure 7). These stages have been consolidated by Serrano-Pozo and colleagues [133] into an isocortical stage 1, allocortical/limbic stage 2, and subcortical stage 3. This general pattern of spread has been confirmed by a cross-sectional *in vivo* analysis of A $\beta$ -amyloid deposition profiles using Florbetapir-PET imaging [147]. Thus, in the end-stage of AD, most brain areas exhibit at least some A $\beta$  deposition. The spinal cord has been less studied; while it appears to be largely spared, plaques there have been reported in some instances [93, 148].

#### 4.2 In vivo imaging.

At the turn of the 21st century, the first imaging agents were introduced to detect amyloid in the living human brain via positron emission tomography [149, 150]. Jorge Barrio and colleagues introduced 2-(1-[[6-[(2-[<sup>18</sup>F]fluoroethyl)(methyl)amino]-2-naphthyl]]ethylidene)malononitrile ([<sup>18</sup>F]FDDNP), which binds to both A $\beta$ -amyloid and tau tangles, and which has achieved some utility in diagnosing tauopathies [151–153]. A more A $\beta$ -selective ligand, developed by William Klunk, Chester Mathis and colleagues, is 2-(4'-[<sup>11</sup>C]methylaminophenyl)-6-hydroxybenzothiazole (Pittsburgh Compound-B [PiB]) [149, 154]. Derived from the chemical structure of the histologic staining agent Thioflavin-T, PiB crosses the blood-brain barrier and binds with high affinity and selectivity to A $\beta$  deposits in plaques and CAA [154]<sup>9</sup>. PiB (which is labeled with carbon-11), was followed by similar PET ligands labeled with fluorine-18 (a radiolabel with a longer half-life than carbon-11): Florbetapir (Amyvid) [155, 156], Florbetaben (Neuraceq) [157], and Flutemetamol (Vizamyl) [158].

By assessing A $\beta$ -amyloid load in living subjects, these imaging agents have facilitated the differential diagnosis of AD and the longitudinal tracking of A $\beta$  accumulation. They are particularly sensitive in detecting dense-core A $\beta$  plaques, although they also bind to some extent to A $\beta$ -CAA and diffuse A $\beta$  deposits [47, 159–161]. Histochemical analysis of fluorescently labeled ('clicked') PiB applied to AD tissue sections confirms the preference of PiB at low concentration (100nM) for dense-core plaques [162]. Interestingly, PiB does not show significant high-affinity binding to A $\beta$ -amyloid deposits in aged nonhuman primates with substantial A $\beta$  burden [163], even though the amino acid sequence is identical to that of humans (see Section 10). (Note that binding of ligands can vary among humans; for example, a case of end-stage AD has been reported with extraordinarily high A $\beta$  load, a predominance of A $\beta$ 40, and minimal high-affinity binding of PiB [164]). Since neither AD-like tauopathy nor dementia has been reported in nonhuman primates [165], comparative

<sup>9</sup>In the 1920s, Congo red was introduced as an *in vivo* diagnostic agent for non-cerebral amyloidosis. Following intravenous injection, the rate at which Congo red was cleared from the blood was thought to reflect amyloid burden in affected organs (the more amyloid to bind the dye, the more rapid its clearance from blood). For various reasons, the test never achieved widespread use (see Buxbaum and Linke [2012] (reference 52)).

analysis of ligand binding could be useful in defining the variant molecular characteristics of A $\beta$  deposits and their relationship to disease phenotype (see Sections 5.2 and 10).

## 5 The Variety of A $\beta$ Deposits

### 5.1 A $\beta$ plaques.

The histologic implementation of specific antibodies in the 1980's firmly established that A $\beta$  plaques in the brains of Alzheimer patients comprise a remarkable variety of morphologies [143, 166–172]. Several modern classification schemes have been proposed (e.g., [143, 166, 173–176]), and while there is not universal agreement on some of the terms, A $\beta$  plaques can be broadly categorized into amyloid plaques *per se* (with dense, congophilic cores), and a range of more loosely organized deposits of myriad sizes, shapes, densities and locations [133] (Figures 5, 6, 8, 9). It is noteworthy that different genetic mutations can be associated with particular predominant plaque morphologies, as well as the presence of CAA, (see Alzforum for a list of Alzheimer-associated mutations (<https://www.alzforum.org/mutations>)). Note also that relatively few of the mutant forms of Alzheimer's disease have been thoroughly scrutinized neuropathologically.

Within the general categories of plaque structure, the A $\beta$ -*amyloid* plaques are more or less spheroidal lesions that include 'classical' or 'mature' plaques and so-called 'burned-out' or 'compact' plaques [177, 178]. Recently, a 'coarse-grain' plaque type with multiple small cores and a predominance of A $\beta$ 40 has been described in advanced AD cases, often in association with *APOE4* homozygosity and CAA [179]. Diffuse A $\beta$  plaques are much more numerous than are amyloid plaques in the Alzheimeric brain [143] (Figures 6,8), and they span a range of compactness from vaguely A $\beta$ -immunoreactive, Congo Red-negative regions (e.g., 'fleecy' plaques [180]) to clusters of loose fibrillar material that sometimes are weakly congophilic [139, 166]. Ultrastructurally, some of these diffuse deposits have been shown to include amyloid fibrils [181–183], whereas others do not [183], the latter possibly representing a pre-amyloid stage of A $\beta$  aggregation [139].

Diffuse A $\beta$  plaques comprise very small, often stellate assemblies scattered about the parenchyma (Fig 8), a sheet-like band of sometimes confluent, sometimes patchy material in the subpial cortex (Fig 9), large 'cotton-wool' plaques, and very large 'lake-like' patches, including a distinctive cribriform deposit in the subicular complex [143, 171, 176, 184] (Fig 9). Abnormal neurites generally are absent or sparse in diffuse deposits [139], and this includes the cotton-wool plaques that are characteristic of some advanced AD cases [90–94, 134].

Despite their abundance in the Alzheimeric brain, very small diffuse deposits have received remarkably little scientific attention [175]. These probably correspond to the small (~2 $\mu$ m diameter) 'Sternchen' which Fischer in 1910 considered to be the first stage of plaque formation [185]. At least some of them appear to be related to astrocytes [175, 186] (Figure 8), but the absence of systematic research on these ubiquitous lesions currently precludes meaningful consideration of their involvement in the proteopathic process. Similarly, the immunoreactivity of some vestigial (extracellular) neurofibrillary tangles with antibodies to A $\beta$  [187–194] (Figure 10) remains mechanistically undefined.



Certain types of A $\beta$  plaque are typical of the brain compartments in which they develop, e.g., in the cerebellum, basal ganglia, or different cortical regions and laminae (see [139]). In the white matter, distinctive granular accumulations of A $\beta$  [143] occur to varying degrees (Figure 11). These clusters consist of fibrillar A $\beta$  lying outside of the axons, and they appear not to be associated with obvious tauopathy or other abnormalities of the axons themselves [143], although their functional significance is largely unexplored.

The core-space-corona arrangement of A $\beta$  is a notable structural feature of classical A $\beta$  plaques that was noted in several early investigations (reviewed in [116, 119, 120]). These subdivisions of plaques have been given various designations in the early literature, for instance Zentrum or Kern, Hof, Ring, etc.<sup>10</sup>. In tissue that has been immunostained for A $\beta$ , classical A $\beta$  plaques have a condensed core of A $\beta$ -amyloid surrounded by an optically clear region with little A $\beta$ , and then an outer corona of more diffuse A $\beta$  [195] (see Figure 1); the relatively clear intermediate space and the outer corona are occupied by neuronal and glial elements (which are considered in more detail in Section 6).

Viewed in the electron microscope, A $\beta$ -amyloid fibrils in the plaque core are densely packed and often bundled to form a patchy matrix, and viable cellular processes there are largely absent; the more loosely organized A $\beta$ -amyloid sheaves in the space and corona interdigitate with cellular elements such as glial processes and neurites (Figure 12; see also Sections 6.1 and 6.2.1). Embedded in the fibrillar meshwork of amyloid in plaques, various small, spherical particles can be seen (Figure 13). The origin and significance of this material is obscure, but it could account for some of the non-A $\beta$  substances that have been detected in the cores of A $\beta$  plaques (see Section 7). One possibility is that these vesicles originate from intracellular multivesicular bodies, which have been shown experimentally to be an important site of APP/A $\beta$  biology [196–201]. In this regard, vesicular structures ranging from 50 to 300nm in diameter have been reported among the amyloid fibrils in a cell culture model of A $\beta$  amyloid deposition [202].

The center of the compact core in some A $\beta$ -amyloid plaques is refractory to A $\beta$ -immunostaining (Figure 14), even though it is positive for the amyloid-selective dyes thioflavin-S and Congo red [203]. Ultrastructural analysis indicates that the material in the center of fully developed plaques often has a more granular, amorphous appearance (Figure 13) than the obvious fibrils in the mantle of the core and in the periphery.

Classical A $\beta$ -amyloid plaques are often ascribed special relevance to neurodegeneration [1, 204], as they are much more likely to involve neuritic malformation and reactive gliosis than are the diffuse deposits [133]. In this regard, it is noteworthy that cognitively normal elderly subjects with abundant A $\beta$  plaques tend to have mostly diffuse plaques [1] with few neurites and little glial reactivity [139]. However, as noted above, there are rare cases of advanced AD in which classical plaques or dense-cored plaques are infrequent [90–93], suggesting that amyloid *per se* is not essential to the development of dementia. A similar situation holds for prion diseases, all of which are linked to the misfolding and self-assembly of the prion

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<sup>10</sup>Fischer (1910) (reference 185) referred to the central core as the ‘Morgenstern’ (morning star), and described the structure of one type of plaque thusly: ‘Auch hier ist ein zentraler Morgenstern, aus dem mehr oder weniger lange Büschel entspringen; der Fädchenring ist ziemlich weit vom Zentrum entfernt, so dass ein grosser Hof entsteht, der von den Strähnchen durchzogen wird’

protein (PrP) [205, 206]; in some prionoses (such as Gerstmann-Sträussler-Scheinker syndrome and new-variant Creutzfeldt-Jakob disease), PrP-amyloid plaques can be numerous, whereas in others, little if any amyloid is present [127]. In these instances, oligomeric species of the proteins may have particular importance [20], although this has not been definitely established.

A small proportion of A $\beta$ -amyloid plaques lack the outer corona and have few or no neurites; these relatively plain structures have been thought to represent an end-stage in the evolution of plaques, and so were dubbed ‘burned out’ plaques [143, 178]. Based on their apparent sequential appearance in the AD brain, a progression has been proposed in which plaques originate as diffuse (‘primitive’ or ‘immature’) deposits that evolve into classical (or ‘mature’) A $\beta$  plaques and then finally into burned-out plaques [143]<sup>11</sup>. While longitudinal studies in mouse models of cerebral A $\beta$  accumulation have begun to shed light on the time-course of plaque development (see Section 10.2), the order of events in the human brain is still speculative [207].

Biochemical determination of the age of A $\beta$  deposits indicates that the amyloid core is older than the diffuse A $\beta$  in the corona and in diffuse plaques [208, 209]. Armstrong [173] has suggested that the major plaque types mostly arise independently, rather than in an evolutionary progression. In any case, the transformation of diffuse plaques into compact amyloid might not be an inevitable occurrence; for instance, it appears that diffuse A $\beta$  deposits such as the lake-like cloud of A $\beta$  in the subicular complex (Figure 9) do not progress into dense masses of amyloid, and this may be true also for cotton-wool plaques in AD cases with certain presenilin-1 mutations [90, 91, 93].

Finally, it should be emphasized that the relative pathogenicity of the many different A $\beta$  plaque types in the aging human brain remains ambiguous. It is fairly certain that reactive gliosis/inflammation and the local disruption of neuronal processes in classical A $\beta$  plaques are deleterious to brain function (see Section 6), but it is likely that oligomeric agents are the more directly injurious manifestation of misfolded proteins (see Section 1.1). In fact, while the plaques themselves are indicative of a pathogenic molecular process, in and of themselves they may be relatively benign or even protective [210, 211], at least when inflammation and surrounding oligomers are negligible (see Sections 1.1 and 6).

## 5.2 A $\beta$ strains.

In Alzheimer’s disease, the diverse morphological attributes of plaques might reflect, in addition to the local tissue organization, the variable truncation, folding, and molecular architecture of A $\beta$  [212, 213]. These variants are referred to as proteopathic *strains*, a biological concept that was adopted by the spongiform encephalopathy community to explain the alternative disease phenotypes resulting from prion infections [214, 215]. At the molecular level, the formation and propagation of A $\beta$  aggregates (as well as the proteins involved in several other proteopathies [216]), constitute a mechanism that is fundamentally similar to that of prions [217, 218] (see Section 9).

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<sup>11</sup>Diffuse plaques have long been considered an early stage in plaque formation (see, e.g., Critchley 1929 (reference 116)).

The capacity to spawn distinct strains is considered to be a shared property of proteins that are prone to misfolding and self-assembly [56, 59, 219]. *In vitro*, a given protein can create morphologically diverse amyloid fibrils under different environmental influences, for example temperature, pH, ionic strength, protein concentration [220, 221] and agitation [67, 222]. Strain properties can be conveyed to newly forming amyloid fibrils; *in vivo*, it is thought that proteopathic strains undergo conformational selection by which the strain best suited to a given environment predominates [215, 220, 223, 224]. Studies in genetically modified mouse models (which can be customized to make various types of A $\beta$ ) can shed light on the factors that govern alternative plaque morphologies in the living brain [225].

The generation of A $\beta$  strains is influenced by characteristics of the aggregating A $\beta$  such as mutations, truncations and chemical modifications (see Sections 1 and 7). A $\beta$  forms distinct structural strains in different subtypes of AD [226–231]. Investigations of the molecular configuration of A $\beta$  fibrils *in vitro* have yielded insights into potential determinants of A $\beta$  strains (see, e.g., [228, 232–235]), but cryo-electron microscopic analysis of meningeovascular A $\beta$ -amyloid indicates that A $\beta$ -CAA fibrils formed *in vivo*, though polymorphic, differ in important ways from those formed *in vitro* [66]. A similar analytic comparison of A $\beta$  fibrils from plaques in the brain parenchyma and CAA could help to explain the inconsistent co-presence of plaques and amyloid angiopathy in AD.

### 5.3 Cerebral A $\beta$ -amyloid angiopathy (A $\beta$ -CAA).

Several different proteins can form cerebral amyloid angiopathy in different disorders, but A $\beta$  is the most common source of CAA in the elderly [236]. A $\beta$  accumulates in the vascular wall and perivascular zone in cases of primary A $\beta$ -CAA involving mutations in the gene for APP [21, 237–240] and - to varying degrees - in nearly all cases of AD [241–244]. AD and A $\beta$ -CAA share many genetic risk factors, and like A $\beta$  plaques, idiopathic A $\beta$ -CAA sometimes is present in the nondemented elderly [240, 241]. CAA is a significant risk factor for lobar hemorrhage [236, 245], particularly in individuals with hypertension [246].

In end-stage AD, the amount of A $\beta$ -CAA varies widely, even in the presence of copious plaques [247], although the severity of A $\beta$ -CAA tends to increase with increasing plaque load [21]. Furthermore, in some instances, A $\beta$ -CAA can emerge in the absence or near absence of A $\beta$  plaques, notably in an autosomal dominant form of A $\beta$ -CAA known as hereditary cerebral hemorrhage with amyloidosis (Dutch type) (HCHWA-D) [239, 248, 249]. There is evidence for some diffuse parenchymal A $\beta$  deposition [250, 251] and cognitive decline [238, 252] in these cases, but the clinical phenotype probably reflects the vascular pathology more than an AD-like disorder in which plaques and tangles are abundant [253]. Cognitive dysfunction [254–258] and neurodegenerative changes [259] also have been associated with idiopathic A $\beta$ -CAA.

In approximately 25% of end-stage AD patients, A $\beta$ -CAA affecting large vessels is considered to be severe (arterioles are more often afflicted than are veins); capillary A $\beta$ -CAA is less common, being severe in approximately 10% of cases [247]. In advanced A $\beta$ -CAA, the amyloid often extends through the tunica adventitia and into the surrounding parenchyma, where it is pervaded by tau-immunoreactive abnormal neurites [25, 260, 261]

(Figure 15). For unknown reasons, in regions of the neocortex where capillary A $\beta$ -CAA is focally abundant, A $\beta$  plaques are relatively scarce [25, 247, 262].

In the early stages of large-vessel A $\beta$ -CAA, A $\beta$ 42 is more commonly present than is A $\beta$ 40 [263], but in later stages A $\beta$ 40 predominates [263, 264]. Capillary A $\beta$ -CAA, however, more often is positive for A $\beta$ 42 than for A $\beta$ 40 [263]. It has been suggested that the deposition of A $\beta$  in capillaries transpires by a different mechanism than that in large vessels and A $\beta$  plaques [25, 26]. Quantitative spatial analysis has largely refuted the hypothesis that cerebral capillaries are the nidus of A $\beta$  plaque formation [265]. Interestingly, ‘coarse-grain’ plaques, a special type of lesion (see Section 5.1), are more common in cases with abundant A $\beta$ -CAA, particularly capillary A $\beta$ -CAA [179].

A $\beta$ -CAA, like A $\beta$ -plaques, is associated with reactive gliosis and a perivascular inflammatory response [240, 260], although the presence of frank perivascular inflammation is inconsistent [25, 266]. A $\beta$ -amyloid plaques are occasionally confluent with A $\beta$ -CAA (‘juxtavascular plaques’; Figure 16), but the etiologic relationship between these merged lesions is uncertain.

Various genetic, biochemical and pathophysiologic factors appear to influence how the misfolding and aggregation of the same protein - A $\beta$  - can lead to two different phenotypic presentations - parenchymal plaques and vascular amyloid [21]. While many auxiliary molecules are present in both A $\beta$  plaques and A $\beta$ -CAA, some are not shared by the two lesions [267]. Thus, A $\beta$ -CAA and A $\beta$  plaques likely result from at least partially distinct ontogenetic pathways [21]. (In this regard, it is noteworthy that the disappearance of plaques in Alzheimer patients immunized against A $\beta$  is accompanied by a [possibly transient] increase in A $\beta$ -CAA, suggesting a transfer of A $\beta$  from the parenchyma to the walls of blood vessels [268]). For in depth reviews of CAA, see [21, 236, 237, 240, 241, 260, 269].

## 6. Cellular Components of A $\beta$ Plaques

The main cellular elements - neuronal processes and glial cells - in classical plaques were well-documented by pioneering investigators in the field (see [116])<sup>12</sup>, although the nature of their involvement, and their functional relationship to the core, have been a persistent matter of speculation [207]. Diffuse deposits of A $\beta$  mostly lack obvious changes in local neurons and glial cells, whereas these cells are conspicuously altered in classical A $\beta$  plaques. Since classical plaques are especially numerous in most cases of late-stage AD, the associated abnormal neurites and activated glial cells probably contribute to the disturbance of brain function by the plaques [133].

### 6.1 Abnormal neurites.

In advanced AD, many A $\beta$  plaques are decorated with an impressive profusion of dysmorphic neurites (Figures 1, 4, 17). Both axons and dendrites contribute neurites to plaques [207, 270]. Although most swollen neurites have been reported to be axonal in

<sup>12</sup>Early descriptions of plaques included drawings that enabled the artist to clearly depict all cellular elements throughout the depth of the tissue sections in a way that photomicrography, still in its infancy, could not. The result was sometimes striking images that have been difficult to surpass in the century-plus since (see, e.g., the fine reproductions in DeFelipe (2010) (reference 510)).

origin [138, 178, 207], a quantitative analysis of plaques in humans using axon- and dendrite-specific markers is needed to establish the relative involvement of these neuronal processes. Tortuous, atypical neurites that are not spatially associated with plaques are fairly common in the aging brain [139], but neuritic pathology is particularly evident in many A $\beta$ -amyloid plaques. By disrupting the structure and trajectory of neuronal processes, A $\beta$  plaques are thought to interfere with the connectivity and network functionality of the brain [38].

Abnormal neurites are heterogeneous in size, shape and content. Ultrastructurally, plaque-associated neurites may contain any of a number of inclusions, including, in addition to paired helical filaments, profuse mitochondria, various dense bodies, membranes, and multivesicular profiles [139, 178] (Figure 18). The mitochondria appear to be in different stages of degeneration, and they have been hypothesized to be a source of the A $\beta$ -amyloid in plaques [207], as have multivesicular bodies [199, 271, 272]. The cytoskeleton is disrupted in swollen neurites [273], and studies of mouse models found that neuritic calcium (CA $^{2+}$ ) homeostasis [274] and autophagy [275] are dysregulated in them.

Dickson [139] divided abnormal neurites into PHF-type neurites, which are characteristic of advanced AD, and dystrophic-type neurites, which are relatively more frequent in the plaques found in aged, non-demented subjects (and in animal models, in which PHFs *per se* are rare or absent [165]; see Section 10). Dickson also notes, however, that many neurites have the properties of both types, and that abnormal neurites tend to arise from axons or dendrites that just happen to be in the vicinity of the plaque [139]. This is likely to be a general rule for the presence of specific types of neurites in plaques, including those containing markers for diverse neurotransmitters (below) and, e.g., the alpha-synuclein-positive neurites in A $\beta$  plaques that are sometimes co-morbid with synucleinopathy in Lewy body disease [276].

Histochemically, lysosomal enzyme activity is pronounced in dystrophic neurites, as is histochemical reactivity for the A $\beta$ -precursor protein and markers of degeneration such as chromogranin-A and ubiquitin [139]. The chemical variability of neurites may reflect, in addition to the neurons of origin, their stage of development and their response to injury or stress [277]. Several early researchers, including Fischer [117] and Ramon y Cajal (see [278]), thought that the swollen neurites in plaques represented an attempt by the neuronal processes to sprout. Since then, multiple growth-promoting factors have been detected in these neurites [278, 279], and A $\beta$  deposits have been shown experimentally to induce axonal sprouting in the mouse brain [280]. Considered as a whole, these observations indicate the presence of both degenerative and regenerative mechanisms in the aberrant neuronal processes that are associated with A $\beta$  plaques [133, 279].

Analyses of A $\beta$  plaques in humans and aged nonhuman primates found that many different neurotransmitter systems contribute anomalous neurites to plaques [281–287], and that an individual plaque can contain neurites from multiple sources [288, 289]. These studies cast doubt on the hypothesis [290] that plaques emerge from the regression of neurites from a specific transmitter system, in particular the acetylcholinergic neurons of the basal forebrain [141]. Rather, they highlight the probable role of a common catalyst (e.g., misfolded A $\beta$

and/or reactive glia) in driving neuritic dystrophy [139, 289, 291]. Indeed, the influential model proposed by Wisniewski and Terry [178] (see also [81]) that posited neuritic abnormalities in general as the initial stage of plaque ontogeny now seems untenable, especially in light of genetic findings implicating A $\beta$  as the prime mover in the pathogenesis of AD [9, 22, 44, 45, 212]. Even so, dysmorphic neurites do influence the pathologic plaque milieu [207], and it is possible that, by releasing A $\beta$  into the extracellular space, they contribute to the growth of plaques [272]. In addition, neuritic A $\beta$  plaques are generally more strongly associated with dementia than are diffuse plaques [1, 133, 204]. Finally, the loss of synapses correlates strongly with the degree of dementia in AD [292–295]; synaptic pathology is especially evident in the immediate vicinity of A $\beta$  plaques (see [296, 297], possibly owing to increased oligomeric A $\beta$  in this region [297]).

## 6.2 Glial cells.

Of the many genetic risk factors for Alzheimer's disease [298], two of the most potent variant genes - *APOE* (apolipoprotein E) and *TREM2* (triggering receptor expressed on myeloid cells-2) - are highly expressed in glial cells [115], as are several other AD-associated genes [299–301]. Astrocytes and microglia are protean and interactive components of the homeostatic intrinsic immune system in the brain and spinal cord [299, 302, 303]. Histologic, genetic, biochemical and physiological findings strongly implicate them in the pathogenesis of AD [111–115, 299, 303–310] (Figure 19). Microglia and astrocytes do not operate independently of one another, but rather jointly influence A $\beta$  processing and plaque biology [311, 312]. In the vicinity of A $\beta$ -amyloid, these glial cells together form a partially integrated 'reactive glial net' [313] that, while considered to be an attempt to shield nearby neurons from A $\beta$  aggregates [314], ultimately engenders a neurotoxic inflammatory microenvironment [313].

Inflammation is both a risk factor for, and a result of, the deposition of A $\beta$  in the brain [45, 315]. The recruitment and activation of glial cells by A $\beta$  plaques has been likened to a local inflammatory reaction to a foreign body [138, 139], although glia contribute to the pathobiology of plaques in complex ways [299, 302, 305, 309, 312, 316–318]. Mouse models have enabled a dynamic view of glial function and the general biology of plaques, whereas the genetic and physiologic analysis of glia in human AD is much less advanced [299]. Even given the caveat that glia differ in humans compared to other species [316, 319, 320], mice have furnished unique insights into glial functionality in the living brain [304, 316, 321–324]. A growing literature underscores the ability of both microglia and astrocytes to adopt different physiologic states that influence how they contribute - positively or negatively - to AD (see, e.g., [299, 303, 306]). Current views of glial cells thus emphasize their dual role in the pathobiology of AD: they participate in the clearance of aberrant A $\beta$  and other debris, but they also can secrete a variety of inflammation- and cell-stress-related molecules [304, 325, 326]. Much contemporary research seeks to define and disentangle these intricate and seemingly incompatible mechanisms.

**6.2.1 Microglia.**—Activated microglia are intimately associated with the fibrillar A $\beta$  in classical A $\beta$  plaques [139, 327–330] (Figure 20); they occupy much of the space between the plaque core and outer corona, and their processes interdigitate with the bundles of



amyloid [311, 327]. The discovery that loss of function mutations in *TREM2* are a strong risk factor for AD has heightened interest in the role of microglia in neurodegeneration [299, 306]. *TREM2* is a cell-surface immune receptor on many myeloid cells, including microglia, which exclusively express *TREM2* in the brain [306]. The production of *TREM2* is increased in AD [331], and it mediates the activation and responsiveness of microglia to A $\beta$ -amyloid plaques [332].

Microglia have been thought to either phagocytose [139] or produce [311] multimeric A $\beta$ , and their functional variability makes both actions conceivable, depending on the circumstances. On the one hand, there is evidence that microglia normally impede the generation of A $\beta$  plaques; inhibition of microglial functionality in mice was found to increase plaque load [333, 334], and microglia contribute to the clearance of dense-core plaques following anti-A $\beta$  immunization therapy [335] (see also the analysis of immunized humans by Nicoll and colleagues [336]). Additionally, studies in mice indicate that *TREM2* signaling transforms homeostatic microglia into disease-associated microglia (DAM), in which state they phagocytose A $\beta$  in plaques [306, 337]. Impeding *TREM2* functionality in microglia reduces the binding of ApoE to A $\beta$ -amyloid in plaques and augments the seeded propagation of A $\beta$ -amyloid [338]. (Genetic knockout of *TREM2* also promotes the seeded aggregation and spread of tau in neuritic A $\beta$  plaques [339]). On the other hand, ultrastructural [311, 340, 341] and experimental [342] investigations have suggested that microglia can generate A $\beta$ -amyloid fibrils. In support of this hypothesis, sustained pharmacologic reduction of microglia significantly diminished A $\beta$  plaque load in a transgenic mouse model [343].

The ability of microglia to assume multiple phenotypic states underscores the complexity of their participation in the biology of A $\beta$  plaques [299, 300, 305, 344–346]; they contribute to normal brain homeostasis, but they also have injurious properties, particularly when activated [299, 300]. In mice, microglia have been found to exhibit a range of activation states, each of which involves the expression of distinct gene modules [299]. Microglia become activated in the presence of aggregated A $\beta$ , and in this condition they can harm the brain both through the secretion of pro-inflammatory agents and the elimination of synapses [300]. To complicate matters further, a variety of microglial phenotypes are simultaneously present within the same brain [345], and the involvement of microglia in plaques differs as a function of age and disease stage [299]. Finally, while the discovery of microglial risk factors for AD emerged from human genetic analyses [306], we know far more about microglia in rodent models than in human AD, and current evidence suggests that there are important differences that cannot be overlooked [299, 347–349]. These findings collectively highlight the challenges presented by microglia as therapeutic targets in AD.

**6.2.2 Astrocytes.**—In the vicinity of many A $\beta$ -amyloid plaques, astrocytes hypertrophy and increase their expression of glial fibrillary acidic protein [316] (Figure 19). The degree of astrocytic hypertrophy surrounding plaques, however, is inconsistent [317]. GFAP expression is a reasonably reliable index of astrocytic reactivity, but GFAP is not detectable in many healthy astrocytes, and its expression varies in different parts of the brain, in different animal species, and as a function of age<sup>13</sup>.

Compared to microglia, astrocytic somata tend to localize more peripherally to the aggregated A $\beta$  in plaques [175, 302, 311, 313, 327, 330], whence their processes penetrate and to some extent encapsulate the plaques (Figure 19). Despite their tendency to partially segregate, astrocytes and microglia show some spatial overlap, and physical and chemical interactions between them help to define the inflammatory state of plaques [304]. Activated astrocytes promote the inflammatory milieu around plaques through the generation of pro-inflammatory substances, including cytokines/chemokines, activation of the complement cascade, and reactive nitrogen and oxygen species [316].

As in the case of microglia, the role of astrocytes in neurodegeneration is complicated by their variable and sometimes paradoxical phenotypes [317]. In AD, astrocytes can both gain a toxic function and lose their normal physiologic function [316, 350, 351]. Astrocytes have been shown experimentally to take up and degrade A $\beta$  [315]. They also are capable of expressing A $\beta$  [352], and astrocytes containing ample A $\beta$  are present in the Alzheimeric brain [186, 316, 353, 354] (Figure 8). In addition, the extent of peri-plaque reactive astrocytosis is positively correlated with cognitive status in aged subjects, and their abundance is reduced in persons expressing apolipoprotein E4, a major risk factor for AD [316].

In summary, research on microglia and astrocytes has disclosed the extraordinary malleability of these glial cells, the complexity of their involvement in plaques, and thus the attendant difficulties in targeting them therapeutically. Interventions that modulate the activity of glia could either promote or hinder disease progression, depending on the state of the cells in different brain areas, their relative abundance, and the timing of therapeutic delivery in the protracted course of AD. Nevertheless, the obvious importance of microglia and astrocytes in the pathobiology of AD justifies continued efforts to decipher the mechanisms by which they interact with A $\beta$  and with the other cellular components of plaques. For additional reviews of microglia and astrocytes in aging and AD, see [312, 355–357].

**6.2.3 Oligodendrocytes.**—Compared to microglia and astrocytes, oligodendrocytes have been less studied in AD [358]. Their involvement in plaques has long been debated (see, e.g., the contrasting views of Critchley [116] and Ferraro [118]: ‘Oligodendroglia apparently does not participate in the structure of plaques’ [Critchley, 1929]; ‘It is certain, then, that both oligodendroglia and microglia cells are usual components of senile plaques’ [Ferraro, 1931]). Soniat contended that oligodendrocytes are not integral to the formation of plaques, but rather, when present, their presence is purely coincidental [119]. A recent analysis, however, has revealed oligodendrocyte progenitor cells in A $\beta$  plaques that become senescent and pro-inflammatory, in which state they are thought to augment the pathogenicity of aberrant A $\beta$  [359]. More work on oligodendrocytes in association with A $\beta$  plaques is clearly needed.

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<sup>13</sup>The authors note that the findings should be interpreted cautiously in light of the pitfalls associated with histochemical methods (Garwood et al. [2017] (reference 316)). This advice applies to histologic analyses in general, as methods and interpretations can vary among laboratories (e.g., Alafuzoff et al. (2008) (reference 511)).

## 7. The Broader Biochemistry of A $\beta$ in Plaques

The number of molecules that have been linked in some way to A $\beta$  plaques is considerable (see, e.g., [139, 175, 278, 279, 360–364]), creating fertile ground for hypotheses on both the origin of plaques and the nature of Alzheimer's disease. Along with the many substances directly associated with neurons and glia, aggregated A $\beta$  itself is rich in accompanying molecules. Amyloid P component is present in different types of amyloid throughout the body [6, 365], including A $\beta$  plaques [364, 366, 367]. Other molecules that have been reported to directly co-localize with at least some A $\beta$  deposits include proteoglycans [6, 364, 368, 369], complement proteins [370–373], apolipoprotein E [374, 375], alpha-1 antichymotrypsin [376] and advanced glycation end products [377, 378], along with lipids, metal ions, reactive oxygen species and nucleic acids (see Stewart and Radford [364]). How A $\beta$ -linked substances might be involved in the pathobiology of plaques is attracting increasing attention. For instance, a study in mice found that A $\beta$  bound to nucleic acids acts as an immune signal, stimulating an antiviral response in microglia and astrocytes that instigates the complement-mediated elimination of local synapses [379].

The plaque-associated proteome can be interrogated by laser-microdissection of A $\beta$  plaques followed by mass-spectrometric analysis [380–385]. These studies have identified numerous proteins that are enriched in plaques, though whether they are directly associated with multimeric A $\beta$  or with the cellular constituents is sometimes undefined. It has been proposed that plaques mature through three biochemical stages within which the toxicity of the aggregates may differ; in stage 1, the aggregates lack both pyroglutamation at residue 3 (A $\beta$ Np3E) and phosphorylation at residue 8 (pSer8A $\beta$ ); in stage 2, A $\beta$ Np3E appears, and in stage 3, both A $\beta$ Np3E and pSer8A $\beta$  are present [19, 386]. Post-translational chemical modifications of A $\beta$  can influence the aggregation of the protein along with the type of deposit that is formed in the brain [19, 387–391], but the mechanisms are, in many cases, still uncertain.

## 8. Microbes and Plaques

The notion that microbes might participate in the genesis of plaques has been considered at least since the early 20th century<sup>14</sup>. Fischer likened mature plaques to actinomycetes 'Drusen', although he noted that they were negative for multiple bacterial stains [117]. Critchley remarked in 1929 that the microbial origin hypothesis had failed to gain traction [116]. Despite more recent claims that senile plaques in Alzheimer's disease 'are made up by spirochetes' [392], there is still no credible evidence that A $\beta$  plaques are primarily collections of microbes or their remains.

That said, there is fairly compelling evidence that certain microbial infections are *risk factors* for AD [393–395]. Perhaps the best evidence indicates that some herpesviridae increase the probability of developing AD [394, 396, 397]. Over 15 different microbes have been linked to Alzheimer's disease by various researchers [398], but in many instances the findings are weak or contradictory (see, e.g., [399, 400]). In addition, it is important to

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<sup>14</sup>I use the term 'microbe' here to include both conventional (living) microorganisms and viruses (but not prions).

distinguish cases of dementia in general (for which there are over 50 different causes [330]) from cases of dementia specifically due to the pathology of AD (as defined by Jack and colleagues [109]). It is fair to say that no known infectious agent is universally and exclusively associated with AD [395], but it seems likely that any of several types of brain infection (including chronic infection and/or reactivation of resident microbes) can accelerate plaque formation and the pathogenesis of AD [394, 395, 401, 402]. In other words, at least in some instances the development of plaques may represent a non-specific response to various infectious organisms.

Aggregated A $\beta$  has antimicrobial properties [395, 403, 404], and some microbial antigens have been reported in A $\beta$  plaques [392, 405], but a systematic and comprehensive survey of microbial markers in different types of plaques and A $\beta$ -CAA throughout the central nervous system has not been reported. APP-transgenic mice raised in a germ-free environment develop some A $\beta$  plaques as they age, albeit fewer than mice raised in normal caging [406]. With the caveat that the mice strongly overexpress transgenic A $\beta$ , the findings suggest that infection is not required for plaque formation, but that it can trigger and/or accelerate the process. The role of infection in the causation of A $\beta$  plaques and as a risk factor for AD is an intriguing topic with potential implications for prevention and therapy, but supporting evidence for a specific role of specific microbes in pathogenesis is needed. For a critical consideration of the state of the field, see [393].

## 9. The Seeded Induction of A $\beta$ Plaque Formation

The prion paradigm has become the dominant mechanistic explanation for the aberrant self-assembly and propagation of misfolded proteins in the brain and elsewhere in the body [58, 205, 218, 407–409]. At the molecular level, the prion paradigm postulates that misfolded,  $\beta$ -sheet-rich proteins aggregate into oligomeric/polymeric assemblies that can induce protein molecules of the same type to adopt a similar conformation. In this condition, the proteins tend to stick together, with the assemblies often (but not always) amassing into amyloid deposits.

In the prion diseases, misfolded prion protein (PrP) self-assembles into highly stable multimers that are transmissible from one organism to another - the first verified instance of an infectious protein particle ('prion') [410, 411]. Human prion diseases also originate spontaneously or as a result of mutations in the gene for PrP [412]. The pathological signature of the prion diseases varies considerably [127, 128], but, as in AD, the universal feature of prionopathies is the accumulation of an abnormally folded protein - in this case PrP - in the nervous system.

Systematic studies in transgenic mouse models expressing human APP have determined that A $\beta$  plaque formation is driven by a molecular process that is indistinguishable from the mechanism by which prions instigate disease [217, 218, 413–415] (Figure 21). In this paradigm, brain extracts containing aggregated A $\beta$  are infused into the brains of susceptible mice, instigating A $\beta$  plaque development in a model-, dose- and time-dependent fashion [218, 407]. Analyses of seeded aggregation in experimental systems have demonstrated that A $\beta$  seeds share key properties with prions: 1) they are protein-only agents that are resistant

to destruction by heat and formaldehyde; 2) they incite the formation of cerebral A $\beta$  plaques and A $\beta$ -amyloid angiopathy when introduced into the brain or into the periphery; 3) they exist in multiple sizes; and 4) they can fold into different molecular variants referred to as proteopathic strains [212, 213, 217, 218, 407] (see Section 5.2). The strain-like properties of A $\beta$  deriving from different subtypes of AD can be partially transmitted to plaques via exogenous seeding in mouse models [227, 230].

These investigations highlight the prion-like seeded aggregation of A $\beta$  as the propulsive mechanism behind the formation of A $\beta$  plaques. Since there is currently no evidence that AD or other cerebral proteopathies are infectious under ordinary circumstances [416, 417], it is likely that plaques ordinarily arise endogenously with the stochastic emergence, persistence and spread of A $\beta$  seeds. This process can be advanced by various environmental and endogenous risk factors that influence the likelihood that A $\beta$  will misfold and propagate in the brain [45].

Under extraordinary circumstances, however, A $\beta$  plaques and A $\beta$ -CAA can be instigated by exogenous A $\beta$  seeds in humans [417]. Treatment of young people with growth hormone derived from cadaveric human pituitary glands, beginning in the late 1950's, resulted unexpectedly in the development of prion disease (Creutzfeldt-Jakob disease) many years later [418, 419]. The apparent cause was the presence of infectious prions in the preparations, probably because the large batches of pituitaries that were homogenized for extraction of growth hormone contained some glands from decedents with prion disease. Researchers in England later found that both A $\beta$  plaques and A $\beta$ -CAA were much more common in human growth hormone-treated subjects than in non-treated controls [420]. Furthermore, A $\beta$  deposition was precipitated both in growth hormone recipients dying with [420] or without [421] Creutzfeldt-Jakob disease. An increase in A $\beta$ -proteopathy also has been reported in a subset of people who had received cadaveric dura mater transplants [422, 423].

The most parsimonious explanation for these findings is that some batches of therapeutic growth hormone and dura mater were tainted by A $\beta$  seeds that were present in the tissues taken from donors with AD (or incipient AD) [417]. This possibility is reinforced by the demonstrable presence of aggregated A $\beta$  in some pituitary glands [424] and dura mater [425] from AD patients. Furthermore, A $\beta$  was detected in archival samples of cadaveric human growth hormone [426], and stored hormone was shown to stimulate cerebral A $\beta$  deposition when injected intracerebrally into APP-transgenic mice [427]. Interestingly, tauopathy was not apparent in most of these cases (even though some abnormal tau is present in Alzheimeric pituitaries), and no recipients of cadaveric growth hormone or dura mater have yet been found to develop full-blown AD. Whether this will happen as the subjects age further remains to be determined.

## 10. A $\beta$ Plaques in Nonhuman Species

### 10.1 Native A $\beta$ plaques.

Naturally occurring A $\beta$  plaques and/or A $\beta$ -CAA have been identified in aged animals of many species, including such diverse creatures as woodpeckers [428], bears [429–431], dogs

[432–435], cats [436], camels [437] wolverines [438], and all species of nonhuman primate examined to date [165, 435, 439]. The mammalian mainstays of experimental biology - rats and mice - do not normally manifest plaques in old age, possibly owing to 3 amino acid differences in the N-terminal segment of A $\beta$  that render the protein less likely to aggregate [440, 441].

Most research on native A $\beta$  plaques in nonhuman species has focused on primates ranging from prosimians to monkeys and apes [439], work that has yielded insights into the pathobiology of the lesions [178, 439, 442–444]. Nonhuman primates express A $\beta$  with the same sequence of amino acids as in humans, and both diffuse and dense-core A $\beta$  plaques can be abundant in aged primates (Figure 22) [439], and some of the plaques include reactive glial cells and dysmorphic neurites [444]. Mass-spectrometry has shown that post-translational modifications of A $\beta$  are similar in humans and squirrel monkeys (*Saimiri sciureus*), and by ELISA, the amount of A $\beta$  in the nonhuman primate brain sometimes exceeds that in humans with AD [163].

Despite the presence of copious aberrant A $\beta$ , no nonhuman species has yet been found to exhibit the full clinicopathologic phenotype of AD as it occurs in humans [165]. Specifically, a dementia-like condition has not yet been identified, and tauopathy, though often present, is generally mild, even in the presence of profuse A $\beta$  plaques. For unknown reasons, A $\beta$ -CAA, especially capillary A $\beta$ -CAA, is more commonly present in nonhuman primates than in humans [439, 445, 446]. Although congophilic A $\beta$  plaques occur, human-like classical plaques with an A $\beta$  core, space, and outer corona (see Figure 1) are rare, if they can be found at all, in prosimians and monkeys (we cannot yet rule out such lesions in great apes, as relatively few have been examined in advanced old age). Surprisingly, there is little high-affinity binding of the A $\beta$ -amyloid-imaging agent Pittsburgh Compound B (PiB) to A $\beta$  plaques in nonhuman primates, suggesting biochemical and/or conformational differences in the protein between humans and other primates [447].

It is necessary to determine how differences in lifespan and environmental and genetic risk factors might influence the apparent species-specificity of AD and the A $\beta$ -deposition phenotype. However, current evidence suggests that, despite similarities in the sequence, expression, modification, and deposition of A $\beta$ , nonhuman primates lack the permissive connection between A $\beta$ -proteopathy and tauopathy that is critical to the occurrence of AD in humans [165]. Clarifying the nature of this naturally occurring interruption of the A $\beta$  cascade in nonhuman species could reveal new pathogenic pathways for therapeutic intervention in AD.

## 10.2 A $\beta$ plaques in genetically modified animals.

Studies of naturally occurring plaques in various species have shed some light on the lesions, but there was no practical model in which plaques could be experimentally investigated until the mid-1990's. Then, transgenic mice were introduced that overexpress human APP with genetic mutations linked to AD [448–450]. With age, these APP-transgenic mice deposit copious A $\beta$  in the brain (Figure 23). They were followed by a wealth of additional models in various mouse (and later rat) strains with diverse genetic alterations, transgene expression levels, and the expression or deletion of interacting molecules [451–453]; see Alzforum for a



list of rodent models of AD-like pathology: <https://www.alzforum.org/research-models/alzheimers-disease>). Not surprisingly, the sundry genetically modified animals exhibit many plaque (and CAA) phenotypes. No genetically modified rodent, including those with multiple modifications, has manifested fully AD-like A $\beta$  plaques. As in nonhuman primates, the core-space-corona type of plaque is not typical of the transgenic rodent models. The plaques do, however, share several key features with those in humans; they exhibit a range of morphologies, many have *bona fide* amyloid cores, and they are invested by aberrant neurites and glial cells [452]. Tau abnormalities occur, but human-like neurofibrillary tangles have not yet been generated in rodents.

Nonvertebrate transgenic animals such as fruit flies (*Drosophila melanogaster*) [454–456] and roundworms (*Caenorhabditis elegans*) [457, 458] have been developed to study the pathobiology of A $\beta$ . These models can be useful for analyzing molecular mechanisms and for studying the early-stage efficacy and toxicity of investigational agents, but no nonvertebrate model has yet generated A $\beta$  plaques that remotely resemble those in humans.

It is difficult to overstate the impact that the introduction of genetically modified animals has had on the course of research on the mechanisms underlying plaque formation and AD. For example, transgenic rodents were used to establish the prion paradigm as the pre-eminent theory of plaque ontogeny and spread [217, 218, 407] (see Section 9); they are being used to probe the role of glial cells and neuritic dystrophy in plaque pathophysiology (see Section 6), and they are a vital tool in the preclinical testing of new therapeutic and diagnostic strategies [452, 459–463]. For instance, whereas the first evidence that A $\beta$  plaques and A $\beta$ -CAA could be targeted by anti-A $\beta$  antibodies in the living brain came from experiments in nonhuman primates [464], genetically modified mice enabled the development of A $\beta$ -immunization as a strategy for the prevention or treatment of AD [465].

The application of longitudinal, *in vivo*-imaging studies in murine models has facilitated unique insights into the dynamics of A $\beta$  plaques and their cellular constituents (e.g., [323, 324, 466–469]), as well as the response of plaques and A $\beta$ -CAA to therapeutic intervention [451, 452, 470]. Currently, genetically modified nonhuman primates are being created with the hope that they will more completely recapitulate a human-like AD phenotype [471, 472], but no histopathologic findings have yet been reported. Despite the limitation that genetically modified animals do not yet fully recapitulate Alzheimer's disease, they will continue to play an important part in deciphering the pathobiology of A $\beta$  plaques.

## 11. Conclusions: A $\beta$ Plaques as a Therapeutic Objective

A $\beta$  plaques are an obligatory component of the pathobiology of Alzheimer's disease, and as such, strategies to reduce or neutralize plaques intersect with general strategies to prevent or treat AD. However, the value of plaques, in and of themselves, as therapeutic targets is uncertain. There is little question that A $\beta$  plaques, especially in their more elaborate states, are deleterious to brain tissue; they disrupt neuronal processes and synapses, they can be a source of harmful A $\beta$ -oligomers, and local glial cells create a toxic inflammatory environment. Therapeutically targeting plaques thus presents both opportunity and obstacles.

First, given the long, pre-symptomatic emergence and proliferation of A $\beta$  plaques (and neurofibrillary tangles) in the brain [109, 473], as well as evidence of extensive brain damage by the time dementia sets in, early prevention is likely to be the most effective strategy for subduing AD [474, 475]. The promise of prevention is underscored by the protective effects of the A673T mutation in APP, which diminishes A $\beta$  production throughout life and lowers the risk of AD [48]. Since the most effective preventive protocol should be initiated years, and possibly decades, before the predicted onset of dementia, testing for long-term safety and efficacy will be challenging. Additionally, it is not known when, in the course of life, therapy must begin to effectively prevent or delay AD.

Second, it is possible that some, if not most, of the direct toxic influence of the A $\beta$  is mediated by oligomeric A $\beta$  rather than by fibrillar amyloid *per se*. Evidence that A $\beta$  plaques can serve as a source of oligomers (Section 1.1) argues that some benefit can be achieved by reducing plaque burden and thus the accompanying oligomers. It is encouraging that several of the more promising antibodies currently in clinical trials for AD show activity against oligomeric A $\beta$  [475, 476]. A recent study in mice indicates that the short-term neutralization of oligomeric A $\beta$  seeds early in life diminishes plaque formation as the animals age [477]. However, whether mitigating the production, seeding potential or toxicity of oligomeric A $\beta$  will be beneficial in humans, either as a preventive or as a treatment for discernible dementia, remains to be determined. It is also important to consider the possibility that treatments that block A $\beta$ -amyloid fibril assembly, or that disassemble plaques, might inadvertently increase the presence of toxic oligomers.

Third, the inability of anti-A $\beta$  immunotherapies to substantially impede dementia in symptomatic subjects, even when A $\beta$  plaques are reduced in number [336, 476, 478, 479], suggests that the dis-integration of the cerebral connectome caused by plaques and tangles is pronounced and largely irreversible once dementia commences [9, 474]. Furthermore, tauopathy is an essential contributor to dementia that itself progresses by a prion-like mechanism [480, 481] that may be at least partly independent of A $\beta$ -proteopathy [336]. Whether the alternative approach of lowering the inflammatory state associated with plaques will meaningfully improve behavior at this later stage of disease also has not been established.

In short, once A $\beta$ -amyloid plaques and tauopathy become widespread, especially in neocortical regions [1], removing the plaques is unlikely to significantly reverse the course of dementia. Even so, there is evidence that a reduction of tauopathy [336], and possibly some cognitive benefit, can be achieved in symptomatic patients by anti-A $\beta$  immunotherapy [476, 482–484]. Indeed, active immunization with AN1792, which targets both A $\beta$ -plaques and oligomers, resulted in a long-term decrease in all components of the plaques - aggregated A $\beta$ , aberrant neurites, tauopathy, and focal gliopathy - along with improved indices of ‘neuronal health’ [336]. Thus, notwithstanding the mostly disheartening outcome of therapeutic trials to date, current preclinical and clinical data indicate that the right anti-A $\beta$  treatment, at the right time, has a good chance of delaying or preventing AD.

Finally, it is imperative to remain vigilant to the impact of environmental factors and the microbiome [485, 486] on the risk of developing AD. For instance, if specific microbes are

convincingly found to increase the risk of plaque formation and AD, early immunization against this infectious agent could be an effective preventive measure. It is important also to consider the possibility that interactions among genetic, microbiomic and/or environmental influences could raise disease risk well above the additive impact of individual risk factors.

The search for disease-modifying therapies for AD is a broad and rapidly evolving endeavor that has been extensively reviewed (see, e.g., [9, 33, 476, 479, 487–491]). In addition to small molecules, we have entered a phase in medicine in which biologics such as antibodies [492, 493] and nucleic acid-based agents [494, 495] have unprecedented potential to treat neurodegenerative diseases. For well over a century, A $\beta$  plaques have been recognized as an important correlate of dementia in the aging brain. Revealing the mechanisms by which plaques arise, proliferate, and interact with molecular and cellular elements in the nervous system will continue to yield insights into both the ontogeny and treatment of Alzheimer's disease.

## 12. Methods

Tissue samples were collected from human subjects with end-stage Alzheimer's disease (Figures 1–6, 8–20) and from aged nonhuman species with cerebral A $\beta$  deposition (Figures 21–23). Postmortem collection of samples by the Emory University Goizueta Alzheimer's Disease Research Center Brain Bank was approved by the Emory Institutional Review Board. Tissues from mice and monkeys were collected at Emory's Yerkes National Primate Research Center in accordance with federal and institutional guidelines for the humane care and use of experimental animals. The Yerkes Center is fully accredited by AAALAC International.

### 12.1 Immunohistochemistry.

For light-microscopy, tissue blocks were embedded, sectioned at 8–10  $\mu$ m thickness, and mounted onto glass slides for staining. The following antibodies were used for immunohistochemistry: **4G8**, mouse monoclonal antibody from Covance (Princeton, NJ) raised against residues 17–24 of A $\beta$  peptide, with an epitope at residues 18–22 [496]; **6E10**, mouse monoclonal antibody from Covance raised against residues 1–16 of the A $\beta$  peptide, with an epitope at residues 3–8 [496]; Rabbit polyclonal antibodies **R361** and **R398**, kindly provided by Dr. Pankaj Mehta (Institute for Basic Research on Developmental Disabilities, Staten Island, NY), were raised against synthetic A $\beta$ 32–40 and A $\beta$ 33–42, respectively [497]; **82E1**, mouse monoclonal antibody raised against residues 1–16 of synthetic A $\beta$  [498], from IBL (Gunma, Japan); **CP13**, mouse monoclonal antibody kindly provided by Dr. Peter Davies (Feinstein Institutes for Medical Research, Manhasset, NY), was raised against a synthetic peptide representing the region around phosphorylated serine residue 202 on the tau protein [499]; **MC1**, mouse monoclonal antibody, also from Dr. Davies, was raised against Alz50-immunopurified paired helical filaments and then epitope-mapped to similar conformation-specific regions as Alz50 [500]; **anti-GFAP**, purified immunoglobulin fraction of rabbit antiserum from Dako (Carpinteria, CA) (catalog No. Z0334), raised against glial fibrillary acidic protein (GFAP) isolated from cow spinal cord and purified by solid-phase absorption with human and cow serum proteins; **anti-Iba1**, rabbit polyclonal

antibody from Wako (Osaka, Japan), raised against a synthetic peptide corresponding to the C-terminus of ionized calcium-binding adapter molecule 1 (Iba1), a 17-kDa protein that is specifically expressed in macrophages/microglia and is upregulated during the activation of these cells [501, 502]; **SMI-31**, mouse monoclonal antibody raised against a phosphorylated epitope on the neurofilament heavy subunit (NF-H) [503] from BioLegend (San Diego, CA); and **06-17**, mouse monoclonal antibody to a phosphorylated epitope shared by the heavy and medium kDa neurofilament polypeptides (generous gift of Drs. Ludwig and Nancy Sternberger, University of Maryland, Baltimore) [504, 505].

Vectastain Elite kits (Vector Laboratories, Burlingame, CA) were used for ABC-based immunodetection of antigen-antibody complexes according to the manufacturer's instructions, with diaminobenzidine (DAB) as coloring agent for images in Figures 1,2,5,6,8-11,14,16,17,19,21, & 22. In most cases, a Nissl counterstain was applied after immunostaining, as noted. For dual fluorescence immunostaining (Figure 15), the section was incubated in mouse monoclonal antibody CP13 (diluted in 2% normal goat serum) overnight at 4°C, rinsed, and then incubated for 90 minutes in Cy2-conjugated anti-mouse secondary antibody (green; Jackson Labs, West Grove, PA). The section was rinsed thoroughly, incubated overnight in diluted rabbit polyclonal antibody R398 at 4°C, rinsed, and placed for 90 minutes in Rhodamine-Red-X goat anti-rabbit secondary antibody (Jackson Labs). For dual immunostaining by standard transillumination light microscopy (Figure 3), antibodies were sequentially applied as described above except that the polyclonal anti-A $\beta$  antibodies R398+R361 were colored with DAB (brown), and the anti-tau monoclonal antibody CP13 was colored with VIP (purple; Vector Laboratories). Non-immune mouse IgG or rabbit sera were used in place of the primary antibodies as negative controls.

Tissues shown in Figures 1 (**left**) and 4 were stained with the Naoumenko-Feigin silver stain [506] followed by a periodic acid-Schiff (PAS) counterstain. Figure 23 was stained with the Campbell-Gallyas silver stain [507]. Light-microscopic photomicrographs were taken with a Leica DMLB or DMLS microscope (Wetzlar, Germany) and a SPOT FLEX (Diagnostic Instruments, Sterling Heights, MI) or Moticam 5+ (Motic, Hong Kong) digital camera.

## 12.2 Electron Microscopy.

For conventional ultrastructural analysis (Figures 12, 13, 18, & 20), small blocks of cortex were sub-dissected from larger, autopsy-derived tissue blocks that had been immersion-fixed in 10% neutral buffered formalin. The tissue samples were washed in phosphate buffer (0.1M, pH 7.4) and immersed in osmium tetroxide (1% in phosphate buffer) for 20 minutes. They were then rinsed in phosphate buffer and dehydrated in a graded series of ethanol and propylene oxide. Uranyl acetate (1%) was added to the 70% ethanol (35 minute immersion) to improve contrast in the electron microscope. The sections were then embedded in epoxy resin (Durcupan ACM; Fluka, Ft. Washington, PA) on microscope slides and heated for 48 hours at 60°C. Areas of interest were selected, excised from the slide and glued onto resin blocks. Ultrathin sections were cut with a Leica Ultracut T2 (Nussloch, Germany), collected onto single-slot copper grids, and stained with lead citrate.

For immunogold EM (Figure 11, **right**), sections were preincubated in PBS containing 5% nonfat dry milk and then washed in Tris-buffered saline (TBS)-gelatin buffer (0.02 M Tris, 0.15 M NaCl, 1  $\mu$ l/ml fish gelatin, pH 7.6) to block nonspecific sites. Sections were then incubated for 48 hours at 4°C with antibody 4G8 diluted in PBS-BSA, rinsed in TBS-gelatin, and incubated for 2 hours at room temperature in gold-conjugated goat anti-mouse Fab' fragments (dilution 1:100; Nanogold [Nanoprobes Inc., Yaphank, NY]). Gold particles were silver-enhanced with the HQ Silver kit (Nanoprobes). The tissue was then embedded and cut as described above. Thin sections were examined with a Zeiss EM10-C electron microscope (Oberkochen, Germany) and digital images were captured using a Dual View camera (Gatan Inc., Pleasanton, CA).

## Acknowledgments:

I gratefully acknowledge enlightening discussions with Mathias Jucker, Dietmar Thal, Marla Gearing, Harry LeVine, Rebecca Rosen, Amaryllis Cintron, Eric Heuer, David Lynn, Yury Chernoff, Rolf Warzok, Silke Vogelgesang, Sanjeev Gumber, Linda Cork, Allan Levey and James Lah. Hailian Xiao, Jean-François Paré, and Jeremy Dooyema provided expert technical assistance. Portions of this work were supported by the MetLife Foundation, CART Foundation, Alexander von Humboldt Stiftung, and by National Institutes of Health (NIH) grants P01 AG026423, P50 AG025688, R21 NS077049, and ORIP/OD P51 OD011132.

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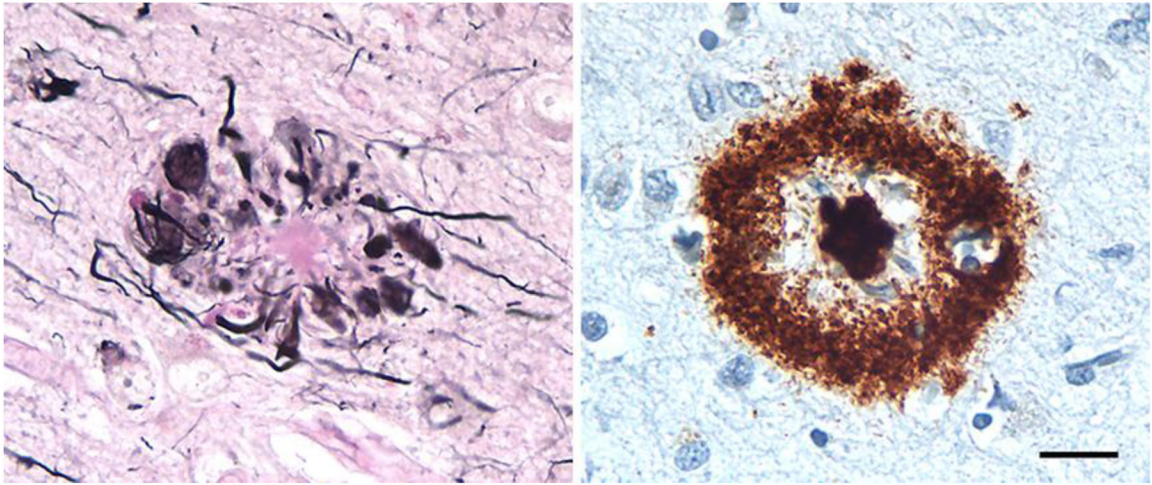
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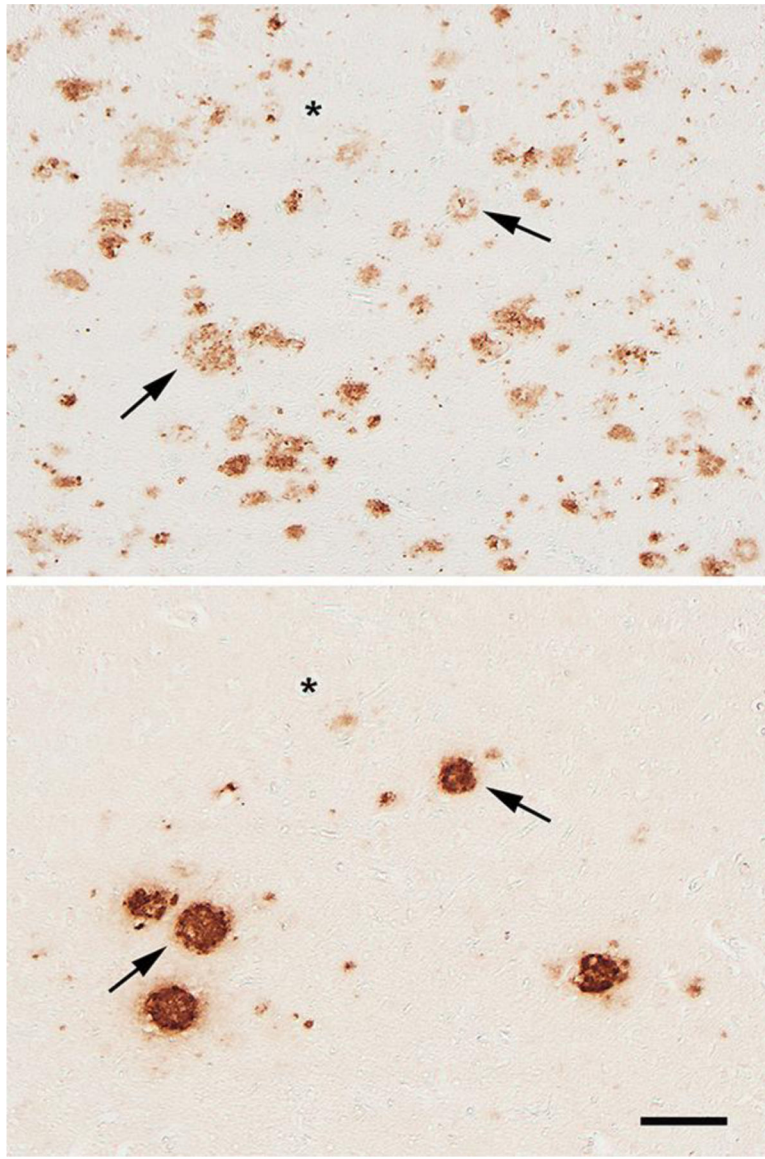
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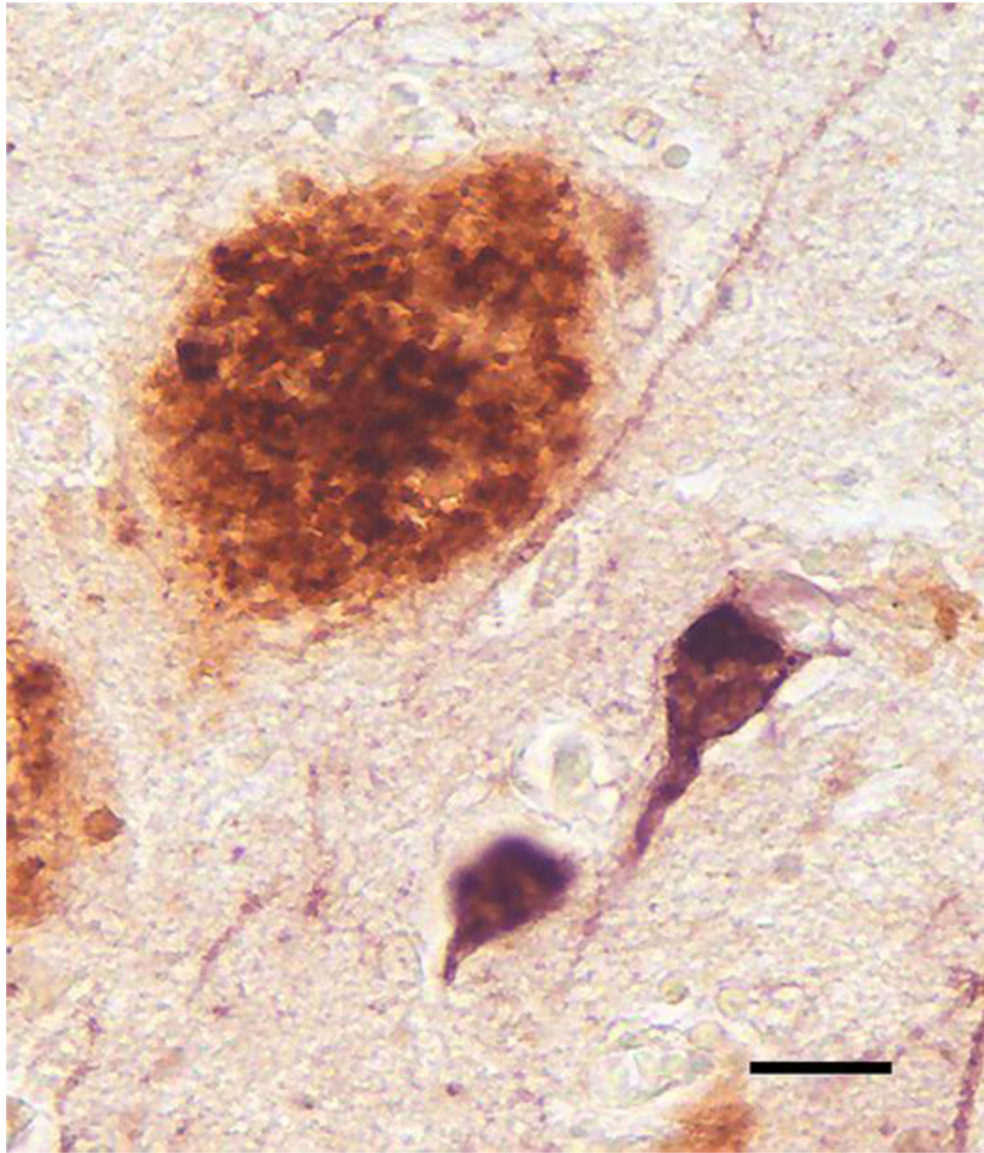


**Figure 1.** ‘Classical’ A $\beta$  (senile) plaques in the cortex of persons who had died with Alzheimer’s disease (AD). Left, a plaque stained with the Naoumenko-Feigin silver method and periodic acid-Schiff (PAS) counterstain; an amyloid core (dark pink) is surrounded by profuse abnormal neurites (black). Right, a plaque immunostained with antibody 4G8 to the A $\beta$  protein (brown) along with a Nissl counterstain (blue); glial nuclei are visible in the region between the plaque core and outer corona, and within and surrounding the corona. Bar = 20 $\mu$ m for both panels.



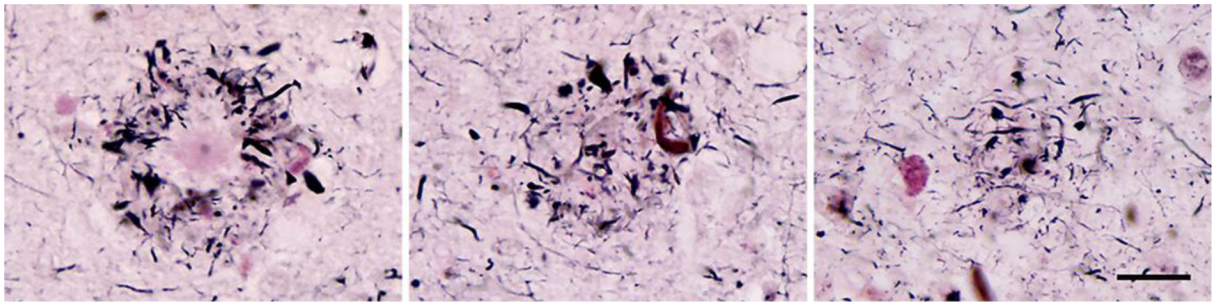


**Figure 2.** Adjacent cortical tissue sections from an AD patient, immunostained with antibodies R398 to A $\beta$ 42 (top) and R361 to A $\beta$ 40 (bottom). Two of the plaques that are present in both sections are denoted by arrows. Asterisks mark a blood vessel for reference. Bar = 100 $\mu$ m.



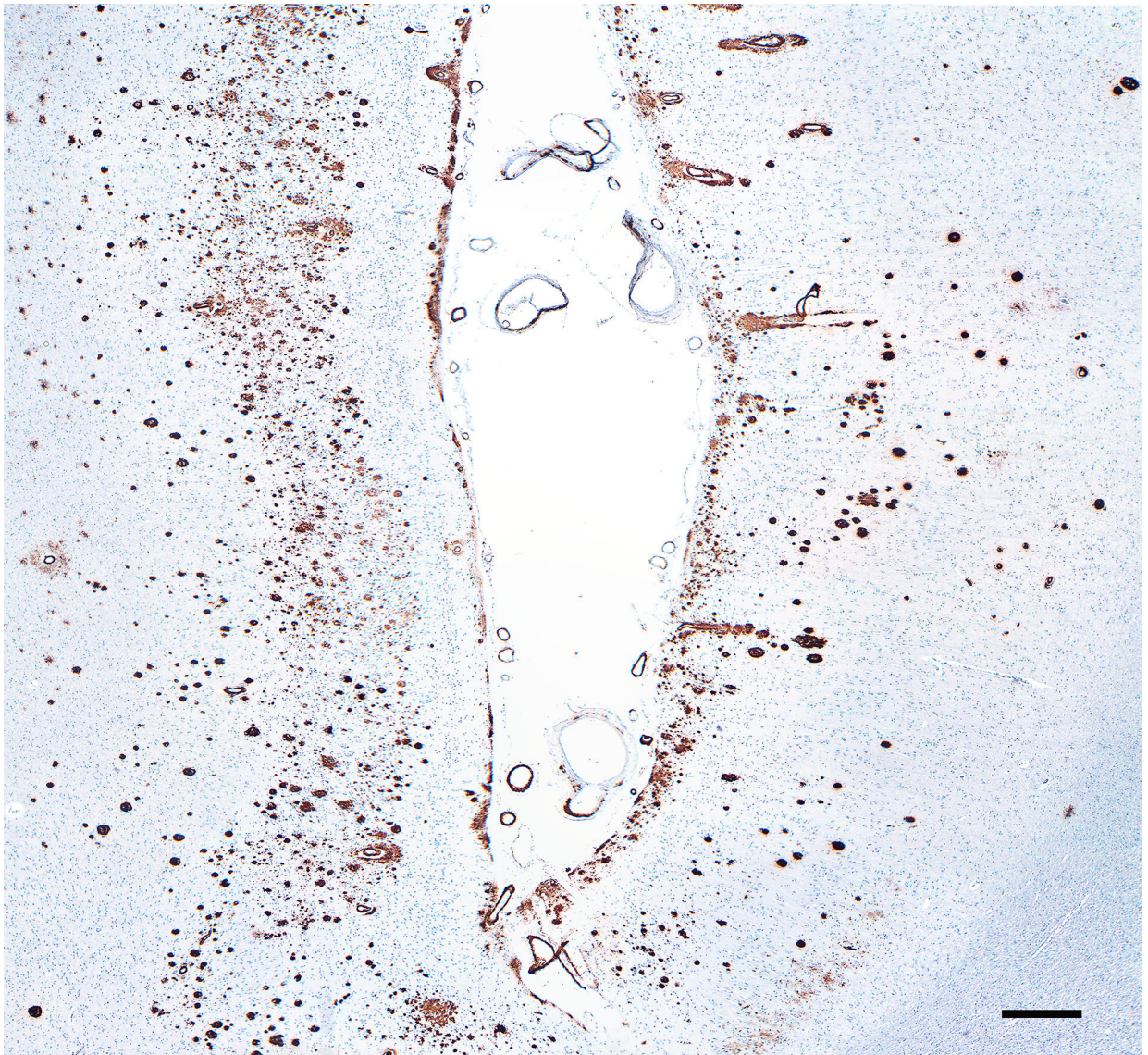
**Figure 3.** An A $\beta$  plaque (brown) alongside intracellular tau tangles (purple) in the cortex of an AD patient. Combined polyclonal antibodies R398+R361 to A $\beta$ 40+42 plus monoclonal antibody CP13 to hyperphosphorylated tau. Bar = 20 $\mu$ m.





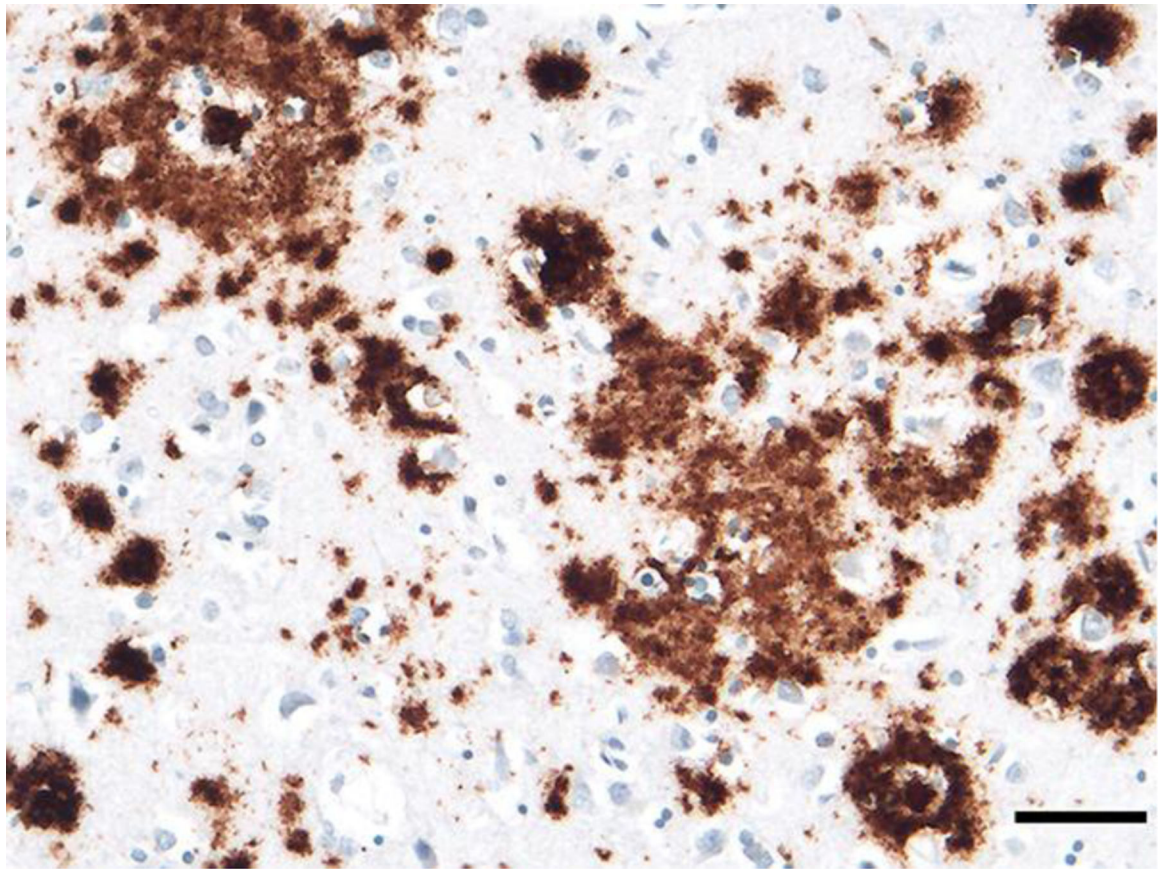
**Figure 4.**

A neuritic A $\beta$  plaque in consecutive sections of the cortex from an AD patient; The core is evident in the left-hand image, whereas sections through the periphery (middle and right) reveal only neurites (black). Serial sections may be required to unequivocally identify plaque types (a technical caveat noted by, among others, Alzheimer [1911] (reference 96)). Naoumenko-Feigin (silver) and periodic-Schiff stains. Bar = 20  $\mu$ m for all images.

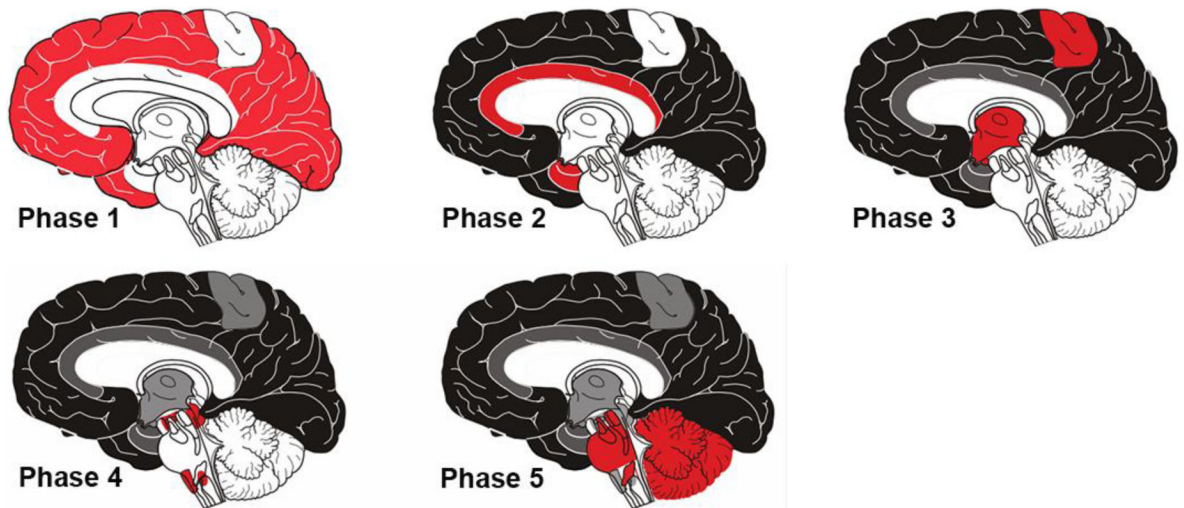


**Figure 5.** Variation in A $\beta$  deposition in adjacent cortical gyri from an AD patient. Antibody 4G8, Nissl counterstain. Bar = 500 $\mu$ m.

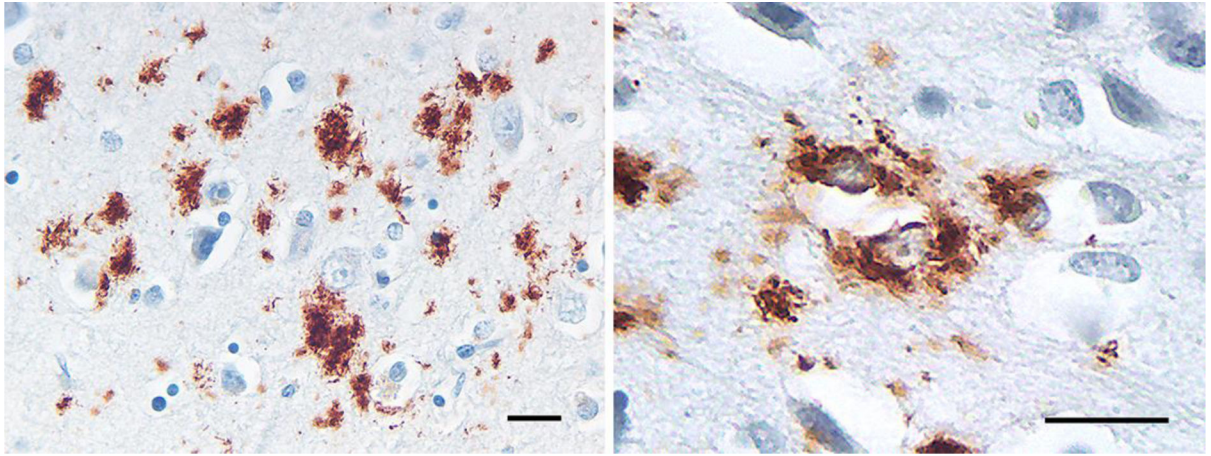




**Figure 6.** Variable morphology of A $\beta$  plaques in the cortex of an AD patient. Classical dense-cored plaques with the core-space-corona pattern are in the upper left and lower right, and an irregular cloud of diffuse material is near the center, along with numerous very small patches. Antibody 4G8; Nissl counterstain. Bar = 50 $\mu$ m.

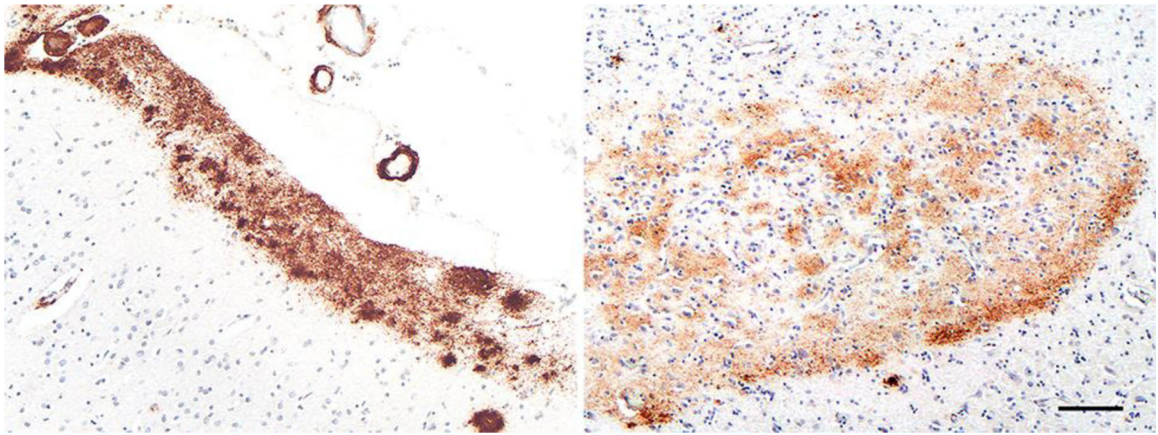


**Figure 7.**  
The phases of A $\beta$  plaque distribution in the brain (references 19, 145); illustration courtesy of Dietmar Thal, KU Leuven.



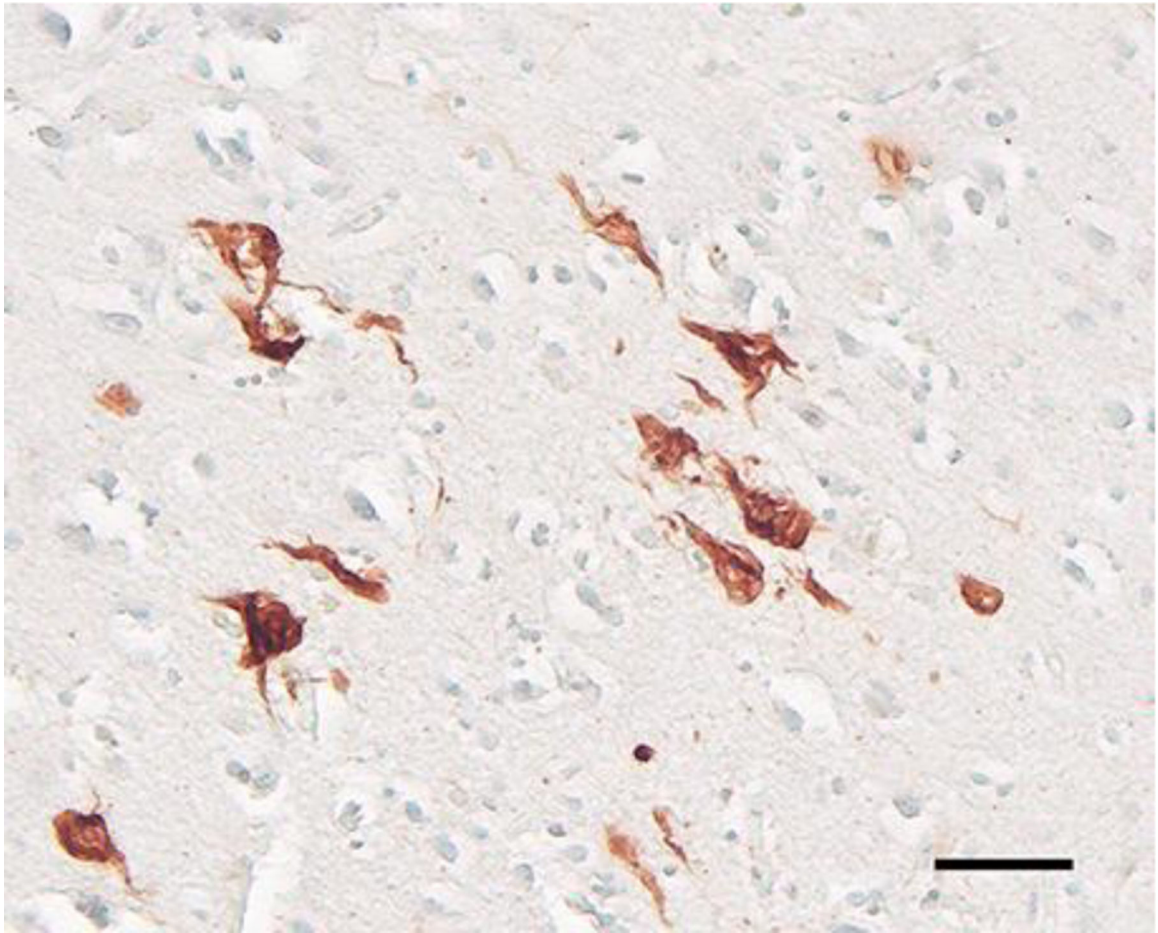
**Figure 8.** Small, often stellate A $\beta$  deposits in the cortex of an AD patient. Some A $\beta$  accumulates within glial cells, most likely astrocytes (right). Antibody 4G8; Nissl counterstain. Bars = 20 $\mu$ m.



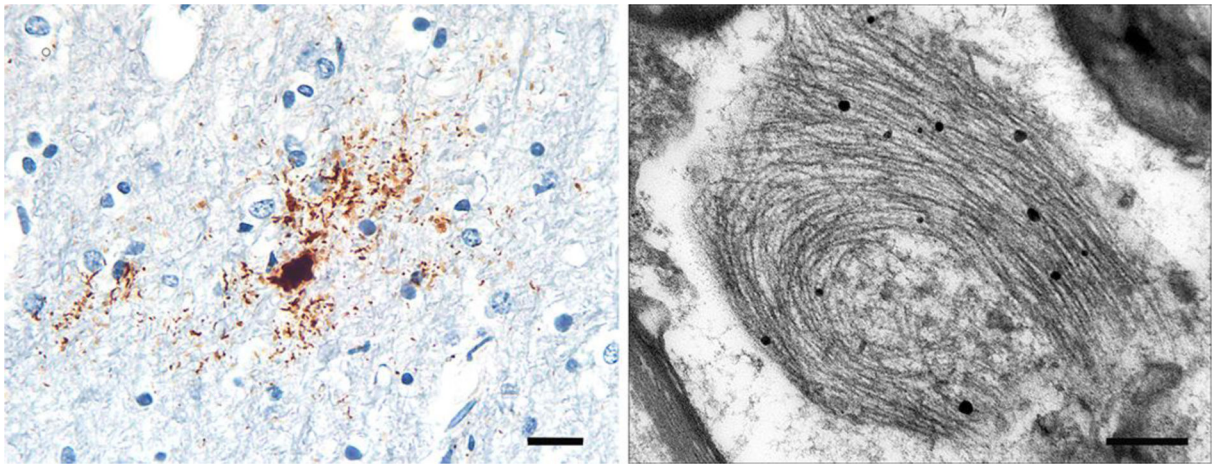


**Figure 9.** Band-like subpial A $\beta$  (left) in neocortical layer 1 and presubicular lake-like A $\beta$  (right) from two cases of AD. The subpial A $\beta$  can be discontinuous, confluent, or punctate. Antibodies 4G8 (left) and 6E10 (right); Nissl counterstain. Bar = 100 $\mu$ m for both images.



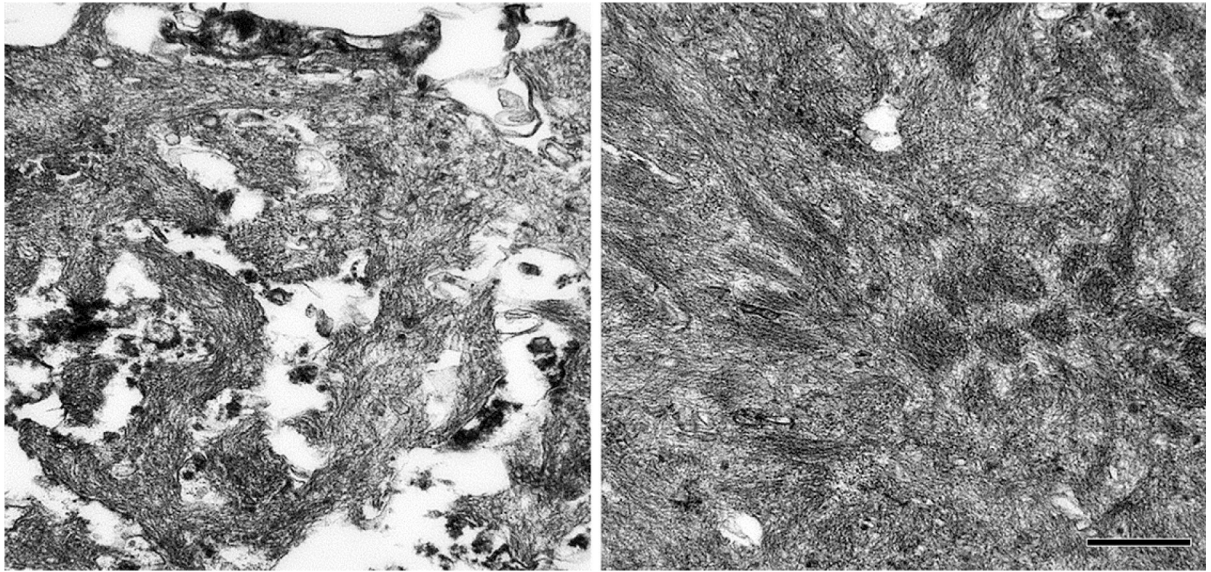


**Figure 10.** Neurofibrillary tangles in the cortex of an AD patient immunostained with an antibody to A $\beta$ 40. When present, this colocalization occurs mostly on extracellular ('ghost') tangles. Nissl counterstain. Bar = 50 $\mu$ m.



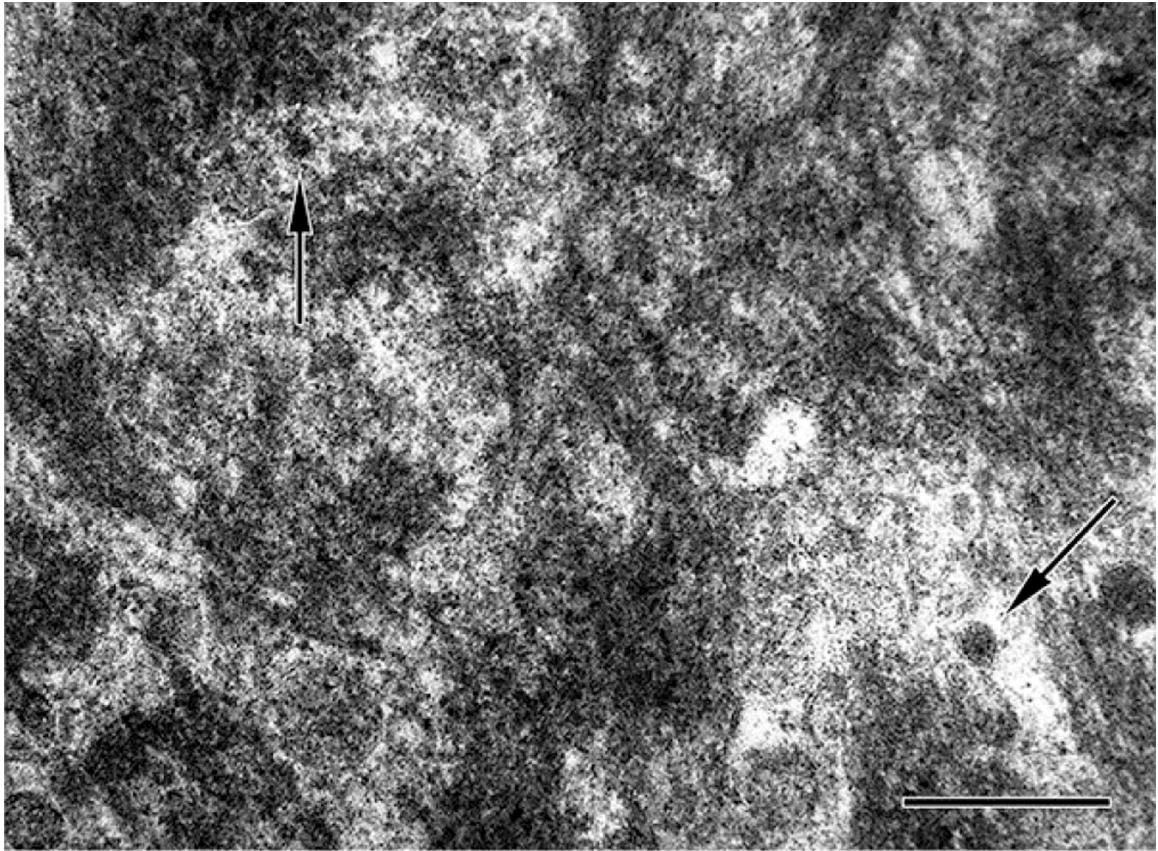
**Figure 11.**

A $\beta$  deposits in white matter of an AD patient comprise clusters of small puncta and filamentous bundles. Left: Light-micrograph of a cluster immunolabeled with antibody 4G8 (Nissl counterstain). Right, electron micrograph of a punctum immunolabeled with antibody 4G8 (black dots are gold particles bound to the secondary antibody). Bars = 20 $\mu$ m (left) and 200nm (right).

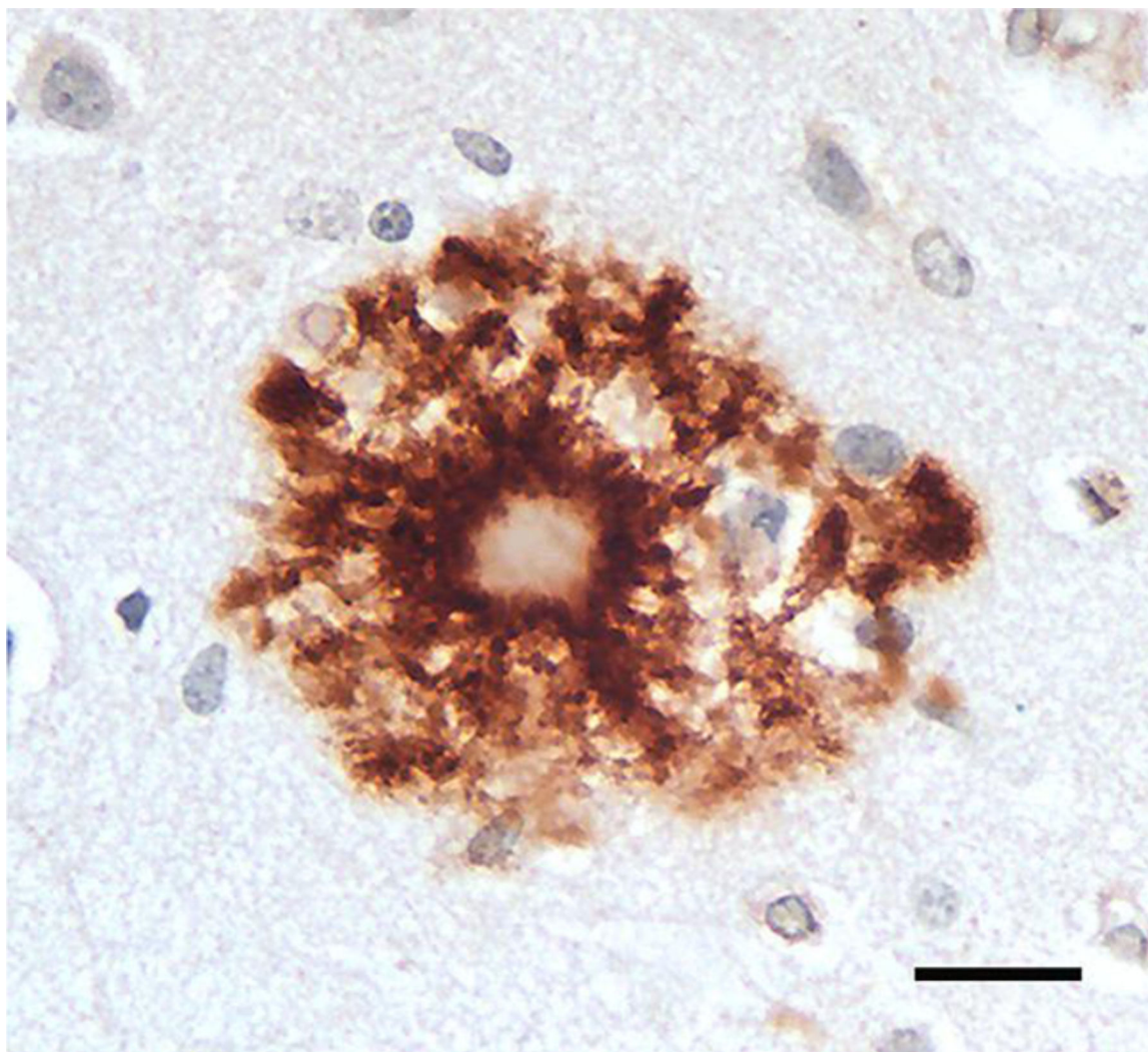


**Figure 12.**  
Ultrastructure of fibrillar A $\beta$  in the plaque corona (left) and core (right) in an AD patient.  
Bar = 500nm for both images.



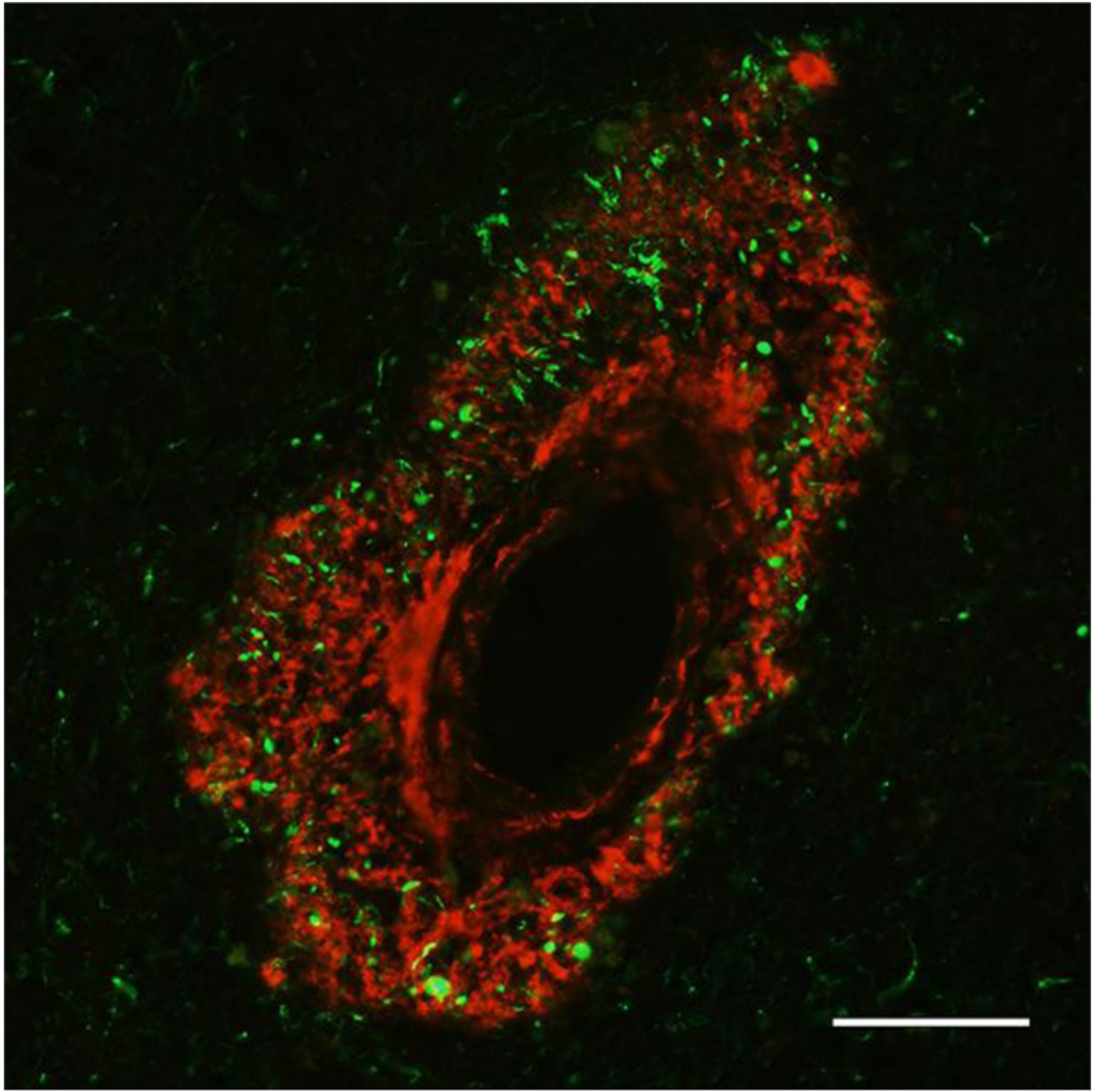


**Figure 13.** High-magnification electron micrograph of a portion of the core of an A $\beta$ -amyloid plaque in an AD patient. The fibrillarity of the material is less evident than in more peripheral zones. Unidentified particles (2 are marked by arrows) of various sizes and densities are interspersed among the amyloid fibrils; these can be found both in the core and corona. Bar = 200nm.



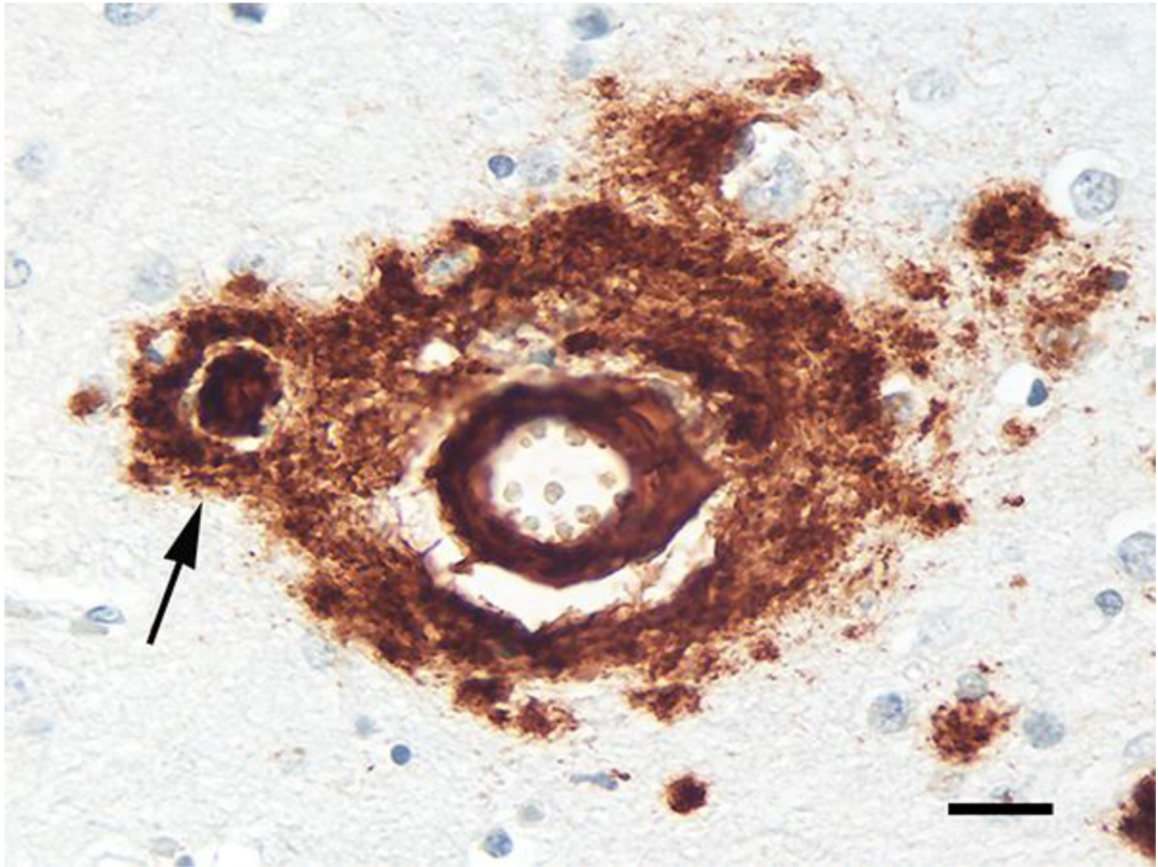
**Figure 14.**  
A $\beta$  plaque with an antibody-refractory central core in an AD patient. Antibody 6E10; Nissl counterstain. Bar = 20 $\mu$ m.



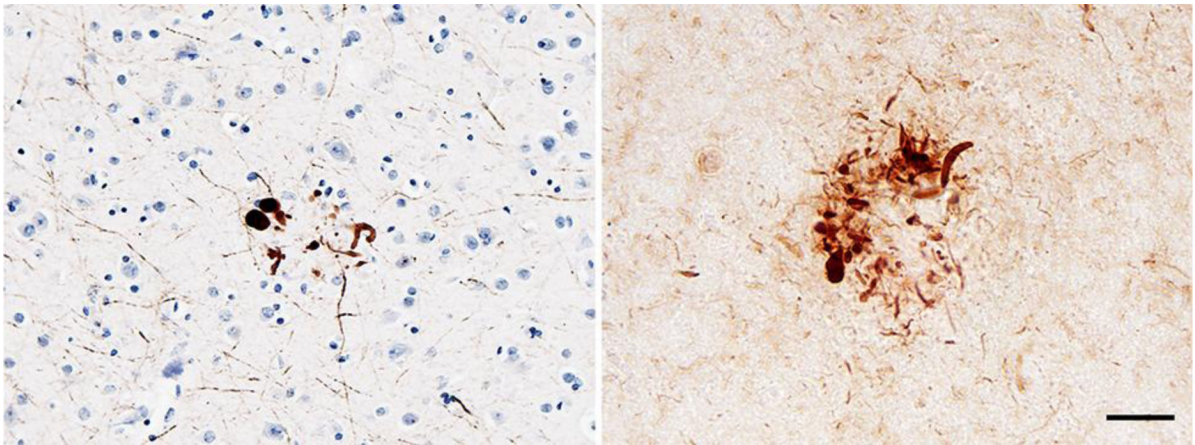


**Figure 15.** Fluorescence-immunolabeled dyschoric cerebral A $\beta$ -amyloid angiopathy (red; antibody R398) and tau-immunoreactive neurites (green; antibody CP13) in the cortex of an AD patient. Bar = 50 $\mu$ m.

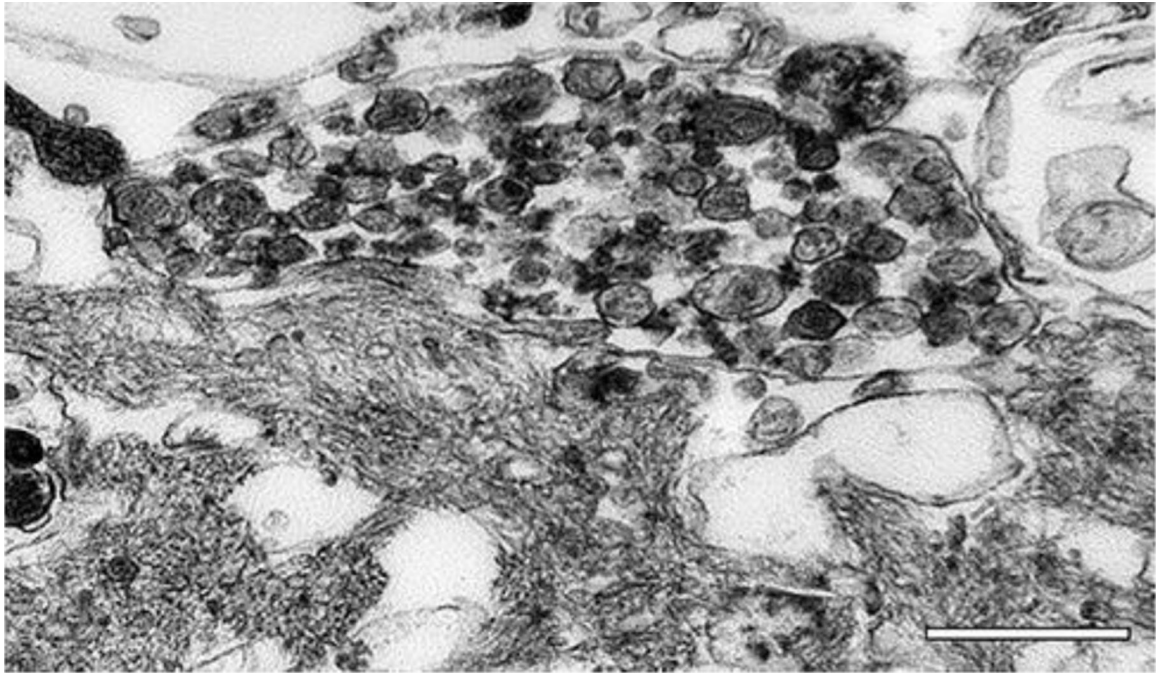




**Figure 16.** Juxtavascular A $\beta$ -plaque (arrow) in the cortex of an AD patient. Antibody 4G8, Nissl counterstain. Bar = 20 $\mu$ m.

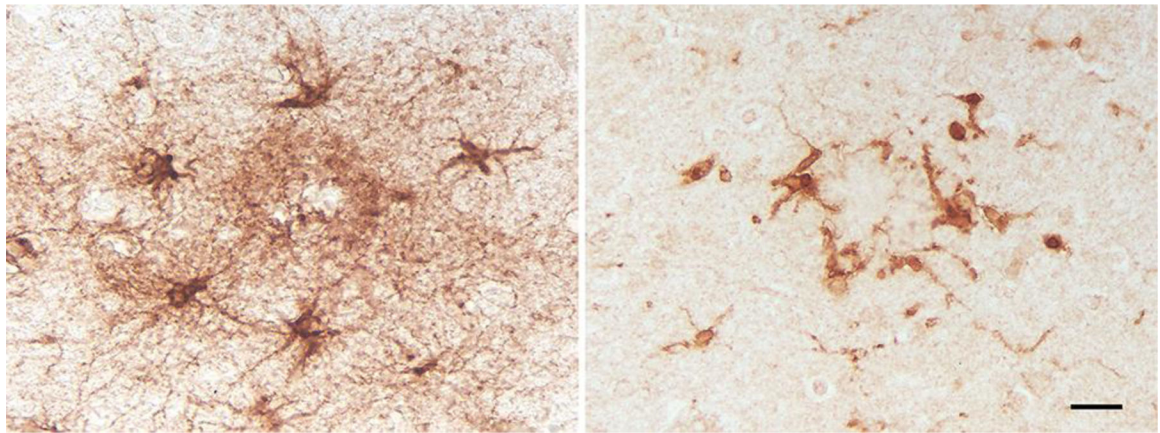


**Figure 17.** Abnormal neurites associated with cortical A $\beta$  plaques in two AD patients. Left: immunostain for neurofilament-H (antibody SMI31) with a Nissl counterstain; right, immunostain for a conformational epitope on tau filaments (antibody MC1). The presence of aberrant neurites that are immunoreactive for these antigens in plaques is variable. Bar = 25 $\mu$ m (right) and 50 $\mu$ m (left).

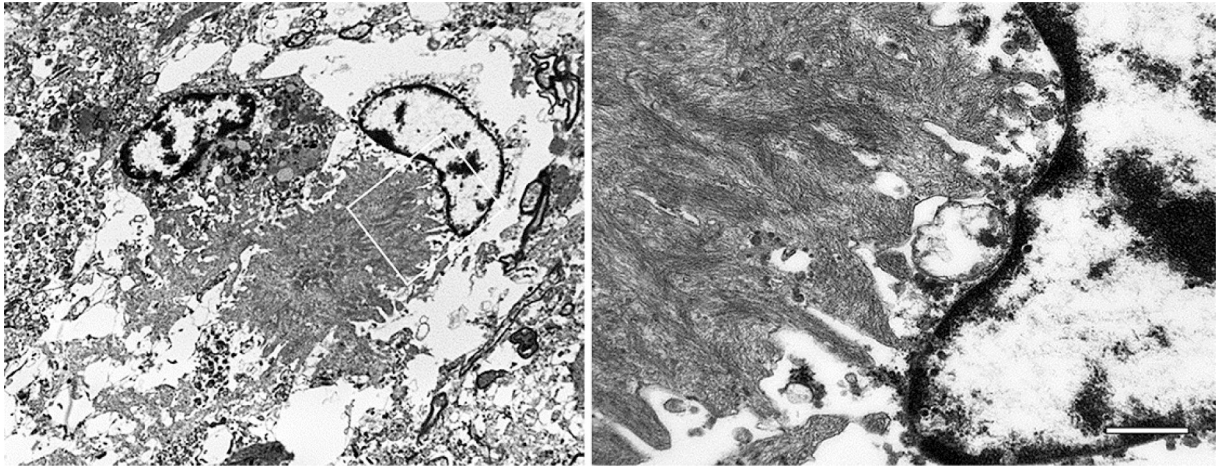


**Figure 18.**  
Abnormal neurite (top) containing organelles /debris adjacent to fibrillar amyloid (bottom)  
in the plaque corona of a patient with AD. Bar = 500nm.



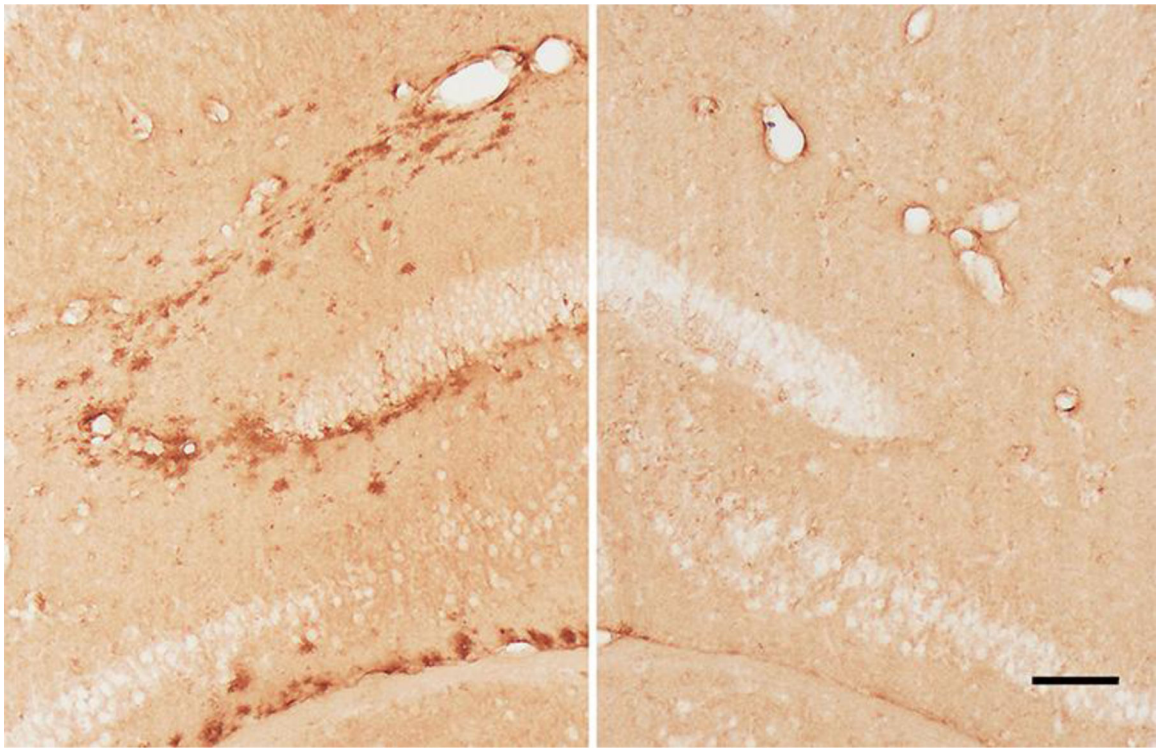


**Figure 19.** Reactive astrocytes (left; antibody to GFAP) and microglia (right; antibody to IBA1) in cortical A $\beta$  plaques of two AD patients. Despite some overlap of the two cell types within plaques, astrocytic somata tend to be more peripherally located than are microglial somata. Bar = 20 $\mu$ m for both panels.



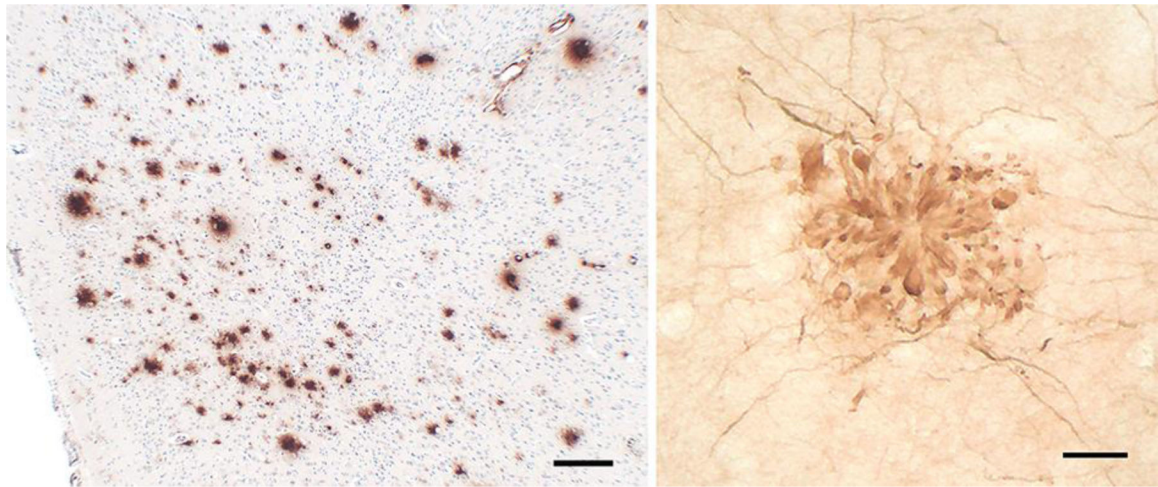
**Figure 20.**

Electron micrographs of a microglial cell in an A $\beta$ -amyloid plaque of an AD patient. The white box in the image on the left denotes the region at higher magnification on the right. The fibrillar bundles of A $\beta$  interdigitate with the microglial soma. Note that the microglial cytoplasm appears artefactually rarefied in this autopsy-derived tissue. Bar = 500 nm (right), 2.8 $\mu$ m (left).



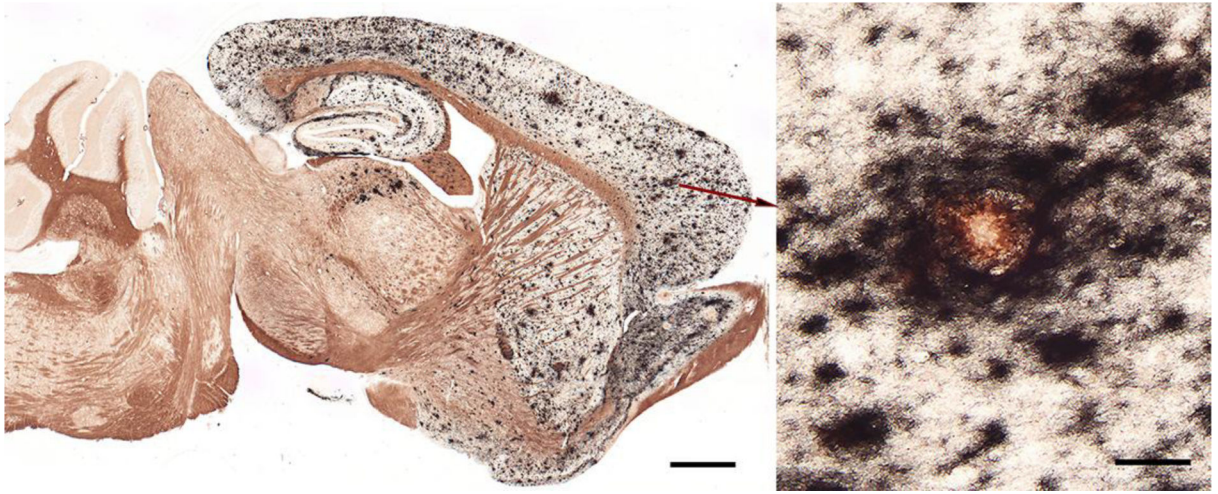
**Figure 21.** Seeded A $\beta$  deposition in the hippocampal formation of a TG2576 APP-transgenic mouse 5-months following unilateral injection of dilute AD brain extract into one hemisphere (left). The contralateral hippocampus in the same tissue section is on the right. Antibody 4G8; Bar = 100 $\mu$ m.





**Figure 22.**

A $\beta$  deposition (left) in the superior temporal gyrus and a neuritic plaque (right) in the hippocampal formation of two aged rhesus monkeys (*Macaca mulatta*, 35 years and ~30 years, respectively). Left: Antibody 82E1 to the N-terminal segment of A $\beta$ , Nissl counterstain; Right: Antibody 06–17 to phosphorylated neurofilaments. Bars = 200 $\mu$ m (left) and 25 $\mu$ m (right). [The maximum known lifespan of rhesus monkeys is 44 years (see Stonebarger et al. (2020) (reference 512)).



**Figure 23.** A $\beta$  plaques in an aged (28 months) Tg2576 APP-transgenic mouse. Diffuse deposits are black, and some dense deposits have a golden core (one in the frontal cortex is magnified at right). Campbell-Gallyas silver stain. Bars = 1mm (left) and 50 $\mu$ m (right).